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Schools and Libraries News Brief

December 30, 2009

FY2010 WINDOW COUNTDOWN

Days to window close 43

Forms 470 filed to date 23,230

Forms 471 filed to date 1,568

REMINDER: Form 470 POSTING deadline is January 14, 2010

TIP OF THE WEEK: If you have not yet filed your FY2010 Form 470, we suggest that you file it online. Forms 470 submitted on paper should reach USAC no later than January 7, 2010 to give us enough time to data enter your information and post your form to the USAC website by the January 14 deadline.

Funding Commitments for Appeals and Prior Funding Years

USAC occasionally releases waves for original commitments for prior funding years and for commitments on meritorious appeals for all funding years. Commitments on meritorious appeals are revisions of earlier commitment decisions that result from successful appeals.

Each month, summary information for these waves is posted on the <u>Prior Year Wave Information</u> page in the <u>Outreach and Training</u> section of the USAC website.

As an example, below is the list from the Prior Year Wave Information Page of the waves issued in November 2009 for both prior funding years and for meritorious appeals:

Waves for Prior Funding Years

FCDL Date	Wave	Funding Year	Amount
November 9	80D	2007	\$39,521.41
November 4	60Y	2006	\$4,389,247.44

Appeal Waves

FCDL Date	Wave	Funding Year	Amount
November 6	A03	2009	\$144,005.39
November 19	A04	2009	\$441,561.96

November 3	A15	2008	\$479,481.87
November 10	A32	2007	\$41,943.43
November 24	A69	2004	\$6,870.00
November 24	A62	2002	\$15,857.60

To help you understand this information, note the following:

Original commitment waves for prior funding years are issued occasionally after the final weekly wave and designated by the wave number of the final weekly wave and a letter. For example, the final weekly wave for FY2006 was Wave 60 issued on August 1, 2007, so the occasional waves of original commitments issued after that wave were designated 60A, 60B, 60C and so on.

- As you can see from the table above, USAC issued an original commitment wave for FY2006 – Wave 60Y – in November. This wave is therefore the 25th wave issued after the last regular (weekly) commitment wave for FY2006, "Y" being the 25th letter of the alphabet.
- As a reminder for applicants looking for information on waves in the USAC database, wave numbers are always three alphanumeric digits. Waves 60 and 60A for FY2006 are designated "060" and "60A" respectively.

Appeal waves for a funding year can be issued even before the final weekly wave is run. Appeal wave numbers begin with the letter "A" and are followed by a two-digit number. Therefore, the first three appeal waves for a funding year are designated A01, A02, and A03. USAC issues **Revised** Funding Commitment Decision Letters (RFCDLs) for positive commitments in appeal waves.

As you can see from the table above, USAC issued two appeal waves for FY2009, Waves
A03 and A04, in November. These waves included revised commitments for funding
decisions made by USAC and later successfully appealed. Appeal wave A01 for FY2009
was issued in August 2009 and appeal wave A02 was issued in September, which you can
verify yourself on the Prior Year Wave Information page.

For specific information on prior year, appeal, and regular commitment waves, you can refer to the <u>Data Retrieval Tool</u> on the USAC website. Remember, if you search for data by wave number, all wave numbers are three alphanumeric digits in length.

Update on HATS

In September 2006, USAC began its first visits to applicants under the Helping Applicants To Succeed (HATS) outreach initiative. Through HATS visits, USAC staff – also known as HATS specialists – provide additional assistance to selected program participants.

USAC is preparing to conduct an increased number of HATS visits in 2010. As a first step to our larger HATS outreach, we will be contacting applicants that missed the Form 470 and/or the Form 471 filing deadline for FY2009.

This outreach effort includes the following:

- FY2009 Forms 470 completed but not certified by January 15, 2009
- FY2009 Forms 471 started but not completed by February 12, 2009
- FY2009 Forms 471 completed but not certified by February 12, 2009

The outreach will be conducted as follows:

- Applicants with one or more FY2009 forms that missed one or both deadlines will receive
 an email reminder this week with information on the filing deadlines for FY2010.
- Applicants with one or more FY2009 forms that missed BOTH deadlines will receive a telephone call next week.

If you are now prepared to meet both filing deadlines, you do not need to respond to the email or telephone outreach. However, if you have questions about this outreach effort, you can <u>email HATS</u> or – if you are contacted by telephone – during the telephone call.

Also, if you would like to volunteer for a HATS visit, you can volunteer during the telephone call or call the Client Service Bureau (CSB) at 1-888-203-8100. CSB will ask you for some specific information and then forward your request to USAC for consideration. Please note that it may take us several weeks to review and prioritize your request. If you are selected for a HATS visit, someone from USAC will contact you to arrange the details of the visit.

For more information on the HATS program, you can refer to the <u>July 24, 2009 SL News Brief</u> or the <u>HATS Outreach Initiative</u> on the USAC website.

You may download and print copies of <u>Schools and Libraries News Briefs</u> on USAC's website. You may <u>subscribe</u> to or <u>unsubscribe</u> from this news brief. For program information, please visit the <u>Schools and Libraries area</u> of the USAC website, <u>submit a question</u>, or call us toll-free at 1-888-203-8100. Feel free to forward this news brief to any interested parties.

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