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# Filing FCC Form 481: Program Year 2025

High Cost Program May 23, 2024



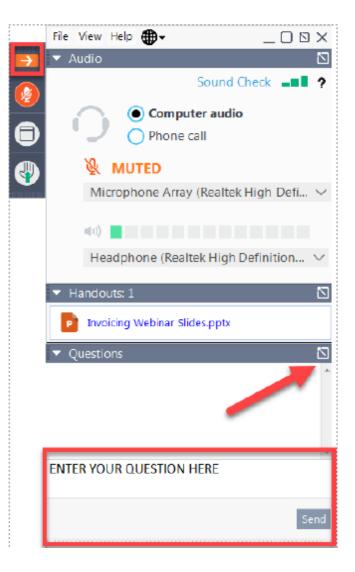
**Universal Service** Administrative Co.

## DISCLAIMER

To accommodate all attendees, real-time closed captions will be present during this presentation. This is a new feature we are testing out to improve webinar accessibility for all. We understand it is a significant change and appreciate your patience. We apologize in advance for any transcription errors or distractions. Thank you for your support.

# Housekeeping

- Audio is available through your computer's speakers.
- The audience will remain on mute throughout the presentation.
- Enter questions at any time using the "Questions" box.
- If your audio or slides freeze, restart the webinar.
- A copy of the slide deck is in the "Handouts" section of webinar panel.



## **Today's Speakers**

## John Putman

Communications Specialist | High Cost

## • Donna Jolly

Manager of Program Management | High Cost

## Agenda

- FCC Form 481 Overview
- Accessing the System and Creating a Filing
- FCC Form 481 Content
- Supply Chain Certifications
- Fund-Specific Sections
- FCC Form 481 Resources
- Questions

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## FCC Form 481: Overview

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## **Form 481 Overview: Requirements**

- All eligible telecommunications carriers (ETCs) participating in High Cost and/or Lifeline programs must file Form 481 annually by Study Area Code (SAC).
- Form 481 collects financial and operational information used to validate carrier support.
  - State utility commissions also rely on Form 481 data to perform the annual certification of ETCs under their jurisdiction to be able to receive High Cost support.
- This year's filing is for program year 2025 because the information collected will be used for disbursements for 2025.
- The data filed is from 2023, which is the most recent full calendar year for which data is available.

## Form 481 Overview: Requirements

- Among other data, the form gathers information about:
  - Carrier ability to function in emergency situations
  - Holding company, operating companies, affiliates and branding designations
  - Tribal lands engagement
  - Comparability of voice and broadband rates in rural and urban areas
  - Terrestrial backhaul
- Form 481 collects financial reports and full audited financial statements (including notes) from privately held ETCs.
- Form 481 also includes three supply chain certifications:
  - Carriers must certify that no universal service support is being used to purchase, rent, lease, obtain or maintain any equipment or services produced or provided by any company designated by the FCC as posing a national security threat to the integrity of communications networks or the communications supply chain.

## Form 481 Overview: System

- USAC has added several enhancements to the 481 system to improve the user experience.
- The 481 system will automatically display only those sections that a carrier is required to complete, based on:
  - Type of carrier (Rate of Return, Price Cap, competitor)
  - Type of support received (CAF II Model, CAF II Auction, ACAM, RBE, etc.)
- The 481 system maintains a downloadable form template.
  - Carriers can download and review completed forms.
  - The enhanced form template does not include all sections. The new version only includes the sections relevant to the particular SAC.

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## FCC Form 481: Accessing the System and Creating a Filing

## Form 481: Accessing the System

- Carriers can access the Form 481 system through USAC's E-file system
  - E-File is available at <u>https://forms.universalservice.org/portal/login</u>.
  - Click "Sign In" at the top of the USAC website (usac.org).



- Users must have a 481 entitlement. The carrier's 498 officer can assign the entitlement.
  - Form 481 SPO (Service Provider Officer): allows the user to enter and certify data.
  - Form 481 SPU (Service Provider User): allows the user to enter but not certify data (typically provided to users inside the carrier).
  - Form 481 SPA (Service Provider Agent): allows the user to enter but not certify data (typically provided to agents outside the carrier).

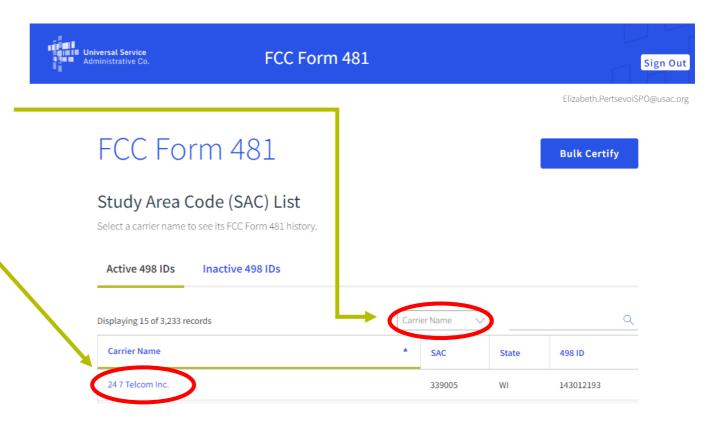
## Form 481: Accessing the System

- If FCC Form 481 is not visible in the High Cost section of the E-File dashboard, the user may not have the necessary entitlement.
  - Users should request entitlements from the carrier's 498 Officer.
  - Form 498 Officers and/or 498 General Contacts should contact USAC about missing entitlements.

Universal Service Administrative Co.		
Dashboard		John.Putma
💾 Upcoming Dates	High Cost	Help?
05/11 HCF Office 2022 Hours Webinar	FCC Form 481 - The FCC Form 481 collects operational and financial information used to validate carrier support. All carriers that receive High	Send us a messag Click here
PIA and 05/12 Selective 2022 Review Process	Cost support (with the exception of carriers that only receive Mobility Fund support) must complete the form annually.	<b>Call us</b> (888) 641-8722
Webinar 05/17 Webinar: Filing the FCC Form 481	FCC Form 690 - The FCC form 690 collects data that USAC uses to validate that the recipient companies are meeting their performance obligations for Mobility Fund support. All carriers that receive Mobility Fund support must complete the form annually.	
see full calendar	<b>54.314 Certifications</b> - State utility commissioners complete the 54.314 Certification annually to certify that High Cost support is used by eligible telecommunications carriers (ETCs) only for the provision, maintenance,	

# Form 481: Creating a Filing

- Use the drop-down menu to search by Carrier Name, Study Area Code (SAC), 498 ID or State.
- Click on the carrier name to advance to the next screen.



# Form 481: Creating a Filing

- Click "Create 481 Form" to begin the filing.
- Filers can access previously submitted filings by clicking the icon in the Download column.

24 7 Te	elcom	Inc.					
State: WI SAC: 339005 498 ID: 143012193							
Form Histo	-					reate 481 Fo	orm
Submission Period	Date Submitted	Filing Period	Filing #	Status	Actions	Download	$\diamond$
2024	04/17/2023	Open	243	Certified - On Time	Revise	.↓	+
2023		Closed	14	Saved Not Certified			—
2023		Closed	13	Saved Not Certified		.↓	
2023		Closed	12	Saved Not Certified		.↓.	

## Form 481: Creating a Filing

• Click "Close" to acknowledge the Paperwork Reduction Act notice.

#### FCC NOTICE REQUIRED BY THE PAPERWORK REDUCTION ACT

Part 54 of the Federal Communications Commission's (FCC) rules authorize the FCC to collect the information requested in this form. Responses to the questions herein are required to obtain the benefits sought by this form. Failure to provide all requested information will delay processing or result in the form being returned without action. Information requested by this form will be available for public inspection. The data collected in the form will be used to validate the recipient companies' support, if any, that they are eligible to receive from the high-cost support mechanism and/or the Lifeline and Link Up support mechanism. We have estimated that each response to this collection of information will take, on average, 20 hours for a high-cost recipient and 3 hours for a Lifeline-only recipient. Our estimate includes the time to read the instructions, look through existing records, gather and maintain the required data, and actually complete and review the form or response. If you have any comments on this estimate, or on how we can improve the collection and reduce the burden it causes you, please write the Federal Communications Commission, AMD-PERM, Paperwork Reduction Project (3060-0986 and 3060-0819), Washington, DC 20554. We will also accept your comments via the Internet if you send them to pra@fcc.gov. Please DO NOT SEND COMPLETED DATA COLLECTION FORMS TO THIS ADDRESS. Remember - you are not required to respond to a collection of information sponsored by the Federal government, and the government may not conduct or sponsor this collection, unless it displays a currently valid OMB control number or if we fail to provide you with this notice. This collection has been assigned an OMB control number of 3060-0986 for the high-cost form and OMB control number of 3060-0819 for the Lifeline form.

THE FOREGOING NOTICE IS REQUIRED BY THE PAPERWORK REDUCTION ACT OF 1995, P.L. 104-13, OCTOBER 1, 1995, 44 U.S.C. § 3507.

Close

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## FCC Form 481: Form Content

## Form 481: Carrier Contact Information

- The first screen collects carrier contact information.
  - Designate the person most familiar with the data submitted.
- Use the section drop-down menu to move between sections.
- Click "Continue" to advance to the next screen.
  - The system will automatically save the filing once "Continue" is selected.

Go to Form Sections:
Filing Type and Contact Info V
Filing Type and Contact Info

#### Filing Type

This information has been preselected based on High Cost and Lifeline program support paid out in the previous calendar year. If you think the filing type is incorrect, <u>please contact USAC</u>.

High Cost (Section 54.313)

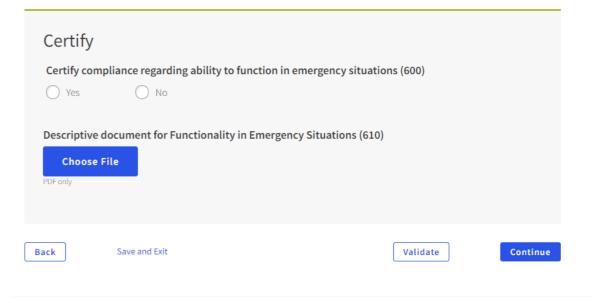
Lifeline (Section 54.422)

Contact Information		
Include contact information for the person bes	t able to answer questions about this form.	
Contact Name (030)	Phone # (035)	Ext. (optional)
	x000-x000 (x00x)	
Contact Email Address (039)		
e and Evit	Vali	idate Continue

## Form 481: Functionality in Emergency Situations

- Section 600 requires carriers to certify to their ability to function in emergency situations.
  - Indicate if the carrier has the ability to function in emergency situations.
  - Attach a document that describes the carrier's ability to meet this requirement.

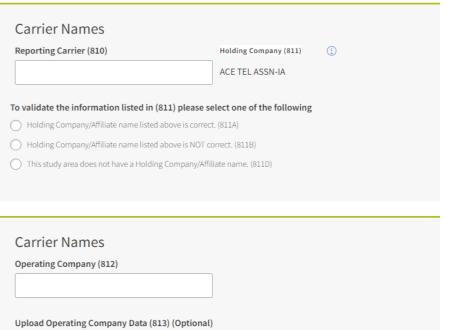
# Functionality in Emergency Situations (600)



# Form 481: Operating Companies

- Section 800 collects information about carrier operating companies and affiliates.
  - The Reporting Carrier should be the name associated with the Study Area Code (SAC) connected to the filing.
  - The holding company name will be pre-populated if USAC has one on file.
  - Operating Company (812) is the name of the corporate operating company.
  - Carriers may attach a file listing any affiliates associated with the SAC completing this form. Affiliates that are designated as ETCs and their SACs, or that provide retail broadband internet access, should be included.

## Operating Companies (800)





# Form 481: Tribal Lands Re

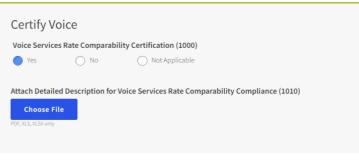
- Section 900 collects information about whether a carrier offers services on Tribal lands.
  - Only line 900 will be visible until a • selection is made.
  - If the response is no, the carrier can • advance to the next section.
  - If yes, lines 910–929 will appear. Carriers • must list the Tribal lands they serve and provide details about carrier engagement with Tribal governments.

eporting		Does the filir Yes Tribal Land(s)	) on which ETC Se	al land services? (900)
Tribal Lands Reporting (9	00)	Choose F PDF only	ile	
Tribal Land Services		Select Yes, No, c		below to confirm the status described on the attached PDF (920) demonstrates nent pursuant to Section 54.333(a)(5) includes:
Does the filing entity offer tribal land services? (900) Yes No				ment planning with a focus on Tribal community anchor institutions
		O Yes	O No	O NA
Back Save and Exit	Validate	Feasibility ar	nd sustainability p	planning (922)
		O Yes	O No	O NA
		Marketing se	ervices in a cultura	ally sensitive manner (923)
		O Yes	O No	O NA
		Compliance	with Rights of wa	v processes (924)
		O Yes	() No	O NA
		Compliance	with Land Use ne	rmitting requirements (925)
		O Yes	() No	O NA
		Compliance	with Facilities Siti	ing rules (016)
		O Yes	No No	O NA
		Compliance	with Environmen	tal Review processes (927)
		O Yes	() No	O NA
		Compliance	with Cultural Pres	servation review processes (928)
		O Yes	O No	O NA
		Compliance	with Tribal Busine	ess and Licensing requirements (929)
		O Yes	O No	O NA

## Form 481: Voice and Broadband Service Rate Comparability

- Section 1000 collects certifications that the carrier offers voice and broadband service at rates that are reasonably comparable to average urban rates.
  - Lines 1000 and 1010 collect information about voice rates.
  - Lines 1020 and 1030 collect information about broadband rates.
  - For lines 1010 and 1030, carriers are required to attach a description detailing either compliance or non-compliance
  - If the response to lines 1000 or 1020 is Not Applicable, lines 1010 or 1030 will not appear.
- Not all carriers will see both voice and broadband certifications.

### Voice and Broadband Service Rate Comparability (1000)



# Broadband Comparability Certification (1020) Selet one of the options Yes - Pricing is no more than the most recent applicable benchmark announced by the Wireline Competition Bureau. Yes - Pricing is no more than the non-promotional price charged for a comparable fixed wireline service in urban areas in the states or U.S. Territories where the eligible telecommunications carrier receives support. No - Unable to certify broadband rate comparability Not Applicable. Choose File PDF.XLS, XLSX onty Back SaventExt SaventExt Validate

## Form 481: Terrestrial Backhaul Reporting

- Section 1100 collects information about a carrier's terrestrial backhaul.
  - If the carrier has terrestrial backhaul and indicates yes on line 1100, the carrier can move on to the next section.
  - If the answer is no, line 1130 will display. The reporting carrier must confirm that it offers broadband service of at least 1 Mbps downstream and 256 kbps upstream within the supported area.

## Terrestrial Backhaul Reporting (1100)

Certify Certify whe	ether terrestrial back	khaul options exist (Y/N) (1100)		
Back	Save and Exit		Validate	Continue
Certify Certify who Yes	ether terrestrial bac	khaul options exist (Y/N) (1100:		
offers broa		response (Yes, No, Not Applicab least 1 Mbps downstream and 2 1130)		

## Form 481: Lifeline Terms and Conditions

- Section 1200 collects the terms and conditions of any voice telephony service plans offered to Lifeline subscribers. This section will only display if the SAC receives Lifeline support.
- Attach a document to line 1210 and/or enter a link to a public website on line 1220.
- The document and/or website must contain:
  - Terms and conditions of any voice telephony service plans
  - Number of minutes provided as part of all plans
  - Additional charges, if any, for toll calls, and rates offered to Lifeline subscribers

## Lifeline Terms and Conditions (1200)

## Upload Document or Link Website Upload a descriptive document(s) AND/OR reference a specific link to your company's website. Terms & Conditions of Voice Telephony Lifeline Plans (1210) Choose File AND/OR Link to Public Website(1220) Confirm Information Check these boxes below to confirm that the attached PDF, on line 1210, or the website listed, on line 1220, contains the required information pursuant to Section 54.422(a)(2) annual reporting for ETCs receiving low-income support, carriers must annually report: Information describing the terms and conditions of any voice telephony service plans offered to Lifeline subscribers (1221) Details on the number of minutes provided as part of the plan (1222) Additional charges for toll calls, and rates for each such plan (1223)

Validate

Continue

Back

Save and Exit

# Form 481: Validate Filing

- Click the Validate button on the Validate Filing screen to confirm the filing is complete.
  - If all required data has been submitted, the system will provide confirmation and the Continue to Certify button will become available.
  - The validate button at the bottom of each section may be used to validate that particular section.
- The system will not allow the officer to continue to certification until any missing information is provided.

## Validate Filing

No errors detected in this filing. Your filing is eligible to be certified. Please proceed to the 'Certifications' screen to complete the certify process.

Select Validate t	o check your filing for any errors.	
Back	Save and Exit	Continue to Certify

# Form 481: Certify Filing

- Carriers can certify by individual SAC or bulk certify multiple SACs.
- There are three supply chain certifications that must be completed. (More in next section of presentation)
  - Officers will indicate yes or no to certify compliance with these rules.
  - If a carrier indicates that it is not in compliance, an additional line will appear for the carrier to attach a copy of the FCC waiver or pending waiver request. Carriers that answer 'No' to 54.11 may be audited.
- Click the boxes under Accuracy Certifications to affirm the accuracy of the filing.
- Enter the officer's name and title under Signature and click the box to acknowledge that the digital signature is equivalent to a physical signature.
- Click "Certify" to complete the filing.

Section	n 54.9: Prohibition on the Use of Funds
Improve, or Federal Corr	In penalty of penjury that no universal service support has been or will be used to purchase, obtain, maintais otherwale support any equipment or tenvices produced or provided by any company designated by the minimization Common so passing antamatic lackcrift threat to the integrity of communications metworks unications supply chain since the effective date of the designations.
lf No is selec	ted, a waiver is required for each SAC which is not certified.
⊖ Yes	No.
Sectio	n 54.10: Prohibition on the Use of Certain Federal Subsidie
to be used fo will be used maintain an	no federal subsidy made available through a program administeried by the Commission that provides fund or the capital expenditures necessary for the provision of administer doministications services has been or to purchase, net, fixed or otherwise babas, was conserved communications equipment or anivola, or consent communications equipment or service previously purchased, netred, leased, otherwise obtained of 27 C.R. Section 54.50.
	ted, a waiver is required for each SAC which is not certified.
O Yes	No No
Prior to ansi comply with	n 54.11: Requirements to Remove and Replace wring, weive section 54.11 of the Commission's rules (47 CFR Section 54.11). Answer Yes / enther (1) you section 54.11(1), meaning you do not use covered communications squapment or nervices, or (2) extraord de toour mendion use not and software or too 54.111 ab feasing was used as feasing-meaner Prinnar
Prior to ans comply with 54.11(d) app recipient wi (47 CFR Sec	wering, weiwe section 54.11 of the Commission's rules (AT OTR Section 54.11). Answer Yes if either (11) you section 54.11(a), meaning you do not use covered communications equipment or services, or (2) section elles to you, meaning you are not yet subject to section 54.11(a) because you are a Reimbusement Program has unseptier demonstraining (processes), and doposal term per section (2004b) of the Commission's rules fon 1.50004b)(a). Answer Yea of you do not comply with section 54.11(a), meaning you do use covered form equipment or services.
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Prior to any comply with \$411dl any conjent with control of the control of control of c	every sector S4.11 of the Commission's rule 44 OT Sector S4.11.1. Answer Yes if either (1) yor sectors S4.11 S4, meaning you do net use covered communications equipment or services, of (2) exton left to you, meaning you are net yet studget to sector S4.11 J4) because you are a filenthousement Program has unsequired encounties, equipment of a dispositi time per sector 1.5000(h) of the Commission's rules for 1.5000(h). Answer had you do net comply with action 54.11 J4), meaning you do use coviered some exappment or services. • no • no • the certifications • the monomer of the reporting carrier, my reportshildies include ensuing the accuracy of the reporting requirement for universal services proper recipients; and to the best of my knowledge, the action reporting on the insporting carrier, my reportshildies include ensuing the accuracy of the reporting requirement on the insporting carrier, my reportshildies include ensuing the accuracy of the reporting requirement on the insporting carrier, my reportshildies include ensuing the accuracy of the reporting requirement on the insporting carrier, my reportshildies include ensuing the accuracy of the reporting requirements in universal services accurate. • the data making will to the statements in any part of this report and/or in these certifications is table by fine or imprinorment pursuant to 47 U.S.C. Sections 446(c), 503(b)(1)(B), and 18 U.S.C. Section <b>utre</b>
Prior to any comply with 54.1181 ag. 417 CTR 36c communical commun	every sector S4.11 of the Commission's rule 44 OT Sector S4.11.1. Answer Yes if either (1) yor sectors S4.11 S4, meaning you do net use covered communications equipment or services, of (2) exton left to you, meaning you are net yet studget to sector S4.11 J4) because you are a filenthousement Program has unsequired encounties, equipment of a dispositi time per sector 1.5000(h) of the Commission's rules for 1.5000(h). Answer had you do net comply with action 54.11 J4), meaning you do use coviered some exappment or services. • no • no • the certifications • the monomer of the reporting carrier, my reportshildies include ensuing the accuracy of the reporting requirement for universal services proper recipients; and to the best of my knowledge, the action reporting on the insporting carrier, my reportshildies include ensuing the accuracy of the reporting requirement on the insporting carrier, my reportshildies include ensuing the accuracy of the reporting requirement on the insporting carrier, my reportshildies include ensuing the accuracy of the reporting requirement on the insporting carrier, my reportshildies include ensuing the accuracy of the reporting requirements in universal services accurate. • the data making will to the statements in any part of this report and/or in these certifications is table by fine or imprinorment pursuant to 47 U.S.C. Sections 446(c), 503(b)(1)(B), and 18 U.S.C. Section <b>utre</b>
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Certifications

## Form 481: Confirmation

- Upon successful certification, the Certification Confirmation screen will appear.
- A copy of the confirmation will be sent via email to the officer who certified.

## **Certification Confirmation**

Congratulations, you have successfully certified.

A confirmation email will be sent to the email address on record for your user ID. Please email USAC at HCCERTS@usac.org if you do not receive this email within 24 hours.

Date and Time: 04/21/2023 01:53 PM

Certified By: Elizabeth.PertsevoiSPO@usac.org

 Carrier Name
 SAC
 498 ID
 Filing #

 247 Telcom Inc.
 339005
 143012193
 245

 Program Year
 Filing Type
 Download
 East of the state of the state

#### Return Home

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## FCC Form 481: Supply Chain Certifications

Service providers must submit annual supply chain certifications to USAC for each USF program in which they participate.

- The FCC issued the *Protecting the Communications Supply Chain Order* (FCC 19-121) in November of 2019. This order adopted 47 CFR **Section 54.9**, which:
  - States: "No universal service support may be used to purchase, obtain, maintain, improve, modify, or otherwise support any equipment or services produced or provided by any company posing a national security threat to the integrity of communications networks or the communications supply chain."
  - Creates a process for the FCC's Public Safety and Homeland Security Bureau (PSHSB) to designate companies subject to this prohibition.
- Section 54.9 went into effect on Jan. 3, 2020, and applies to all USF programs.
- In June of 2020, the PSHSB designated Huawei and ZTE as companies subject to the prohibition in Section 54.9.
- Carriers began certifying compliance with Section 54.9 on Form 481 on April 1, 2021.

- The FCC issued the *Supply Chain Second Report and Order* (FCC 20-176) in December of 2020. This order adopted 47 CFR **Section 54.10**, which:
  - Prohibits the use of any "federal subsidy made available through a program administered by the Commission that provides funds to be used for the capital expenditures necessary for the provision of advanced communications service" to "purchase, rent, lease, or otherwise obtain any covered communications equipment or services" or "maintain any covered communications equipment or services previously purchased, rented, leased, or otherwise obtained."
  - All USF programs are subject to this prohibition.
- Whereas Section 54.9 applies only to communications equipment and services produced or provided by Huawei or ZTE (as designated by the PSHSB), Section 54.10 applies to any communications equipment or services on the Covered List.
- The FCC released the initial Covered List (DA 21-309) in March of 2021.
  - The list included telecommunications equipment produced by Huawei, ZTE, Hytera, Hangzhou Hikvision and Dahua.
- Carriers began certifying compliance with Section 54.10 on Form 481 on April 1, 2022.

- The FCC updated the Covered List (<u>DA 22-320</u>) on March 25, 2022, to include international telecommunications services provided by China Mobile International USA, Inc., and China Telecom (Americas) Corp., and information security products, solutions, and services supplied by AO Kaspersky Lab.
- The FCC updated the Covered List again (<u>DA 22-979</u>) on September 20, 2022, to include international telecommunications services provided by Pacific Network Corp and ComNet (USA) LLC, and China Unicom (Americas) Operations Limited.

- The *Supply Chain Second Report and Order* also adopted 47 CFR **Section 54.11**, which:
  - Requires ETCs to submit a certification confirming that they do not use covered communications equipment or services in their networks.
    - "Covered communications equipment or services" are defined as any communications equipment or service that is both on the Covered List and defined in the 2019 Supply Chain Order, or designated by the process established in Section 54.9 of the FCC rules and designated by the PSHSB.
    - This currently means all communications equipment and services produced or provided by Huawei and ZTE.
- Carriers began certifying compliance with Section 54.11 on Form 481 on April 1, 2023.
- Participants in the Secure and Trusted Communications Networks Reimbursement Program which reimburses carriers for reasonable expenses incurred in the removal, replacement, and disposal of prohibited network communications equipment and services – are not subject to this rule until after their applicable removal, replacement and disposal term expires.

# **Supply Chain Certifications in Form 481**

- Service providers will see the following supply chain certifications included in the annual FCC Form 481 filing:
  - Section 54.9: I certify under penalty of perjury that no universal service support has been or will be used to purchase, obtain, maintain, improve, modify, or otherwise support any equipment or services produced or provided by any company designated by the Federal Communications Commission as posing a national security threat to the integrity of communications networks or the communications supply chain since the effective date of the designations.
  - Section 54.10: I certify that no Federal subsidy made available through a program administered by the Commission that provides funds to be used for the capital expenditures necessary for the provision of advanced communications services has been or will be used to purchase, rent, lease, or otherwise obtain, any covered communications equipment or service, or maintain any covered communications equipment or service previously purchased, rented, leased, or otherwise obtained.
  - Section 54.11: Carriers should answer Yes if either they complied with section 54.11(a) meaning they did not use covered communications equipment or services or section 54.11(d) applied to the carrier meaning the carrier was not subject to section 54.11(a) because the carrier was a Reimbursement Program recipient with an unexpired removal, replacement, and disposal term per section 1.50004(h) of the Commission's rules (47 CFR § 1.50004(h)). Carriers should answer No if they did not comply with section 54.11(a), meaning they did use covered communications equipment or services as defined in section 54.11(b).
- These certifications affirm compliance with the Sections 54.9, 54.10, and 54.11 prohibition on the use of USF support to purchase, obtain, maintain, improve, modify, operate, manage, or otherwise support equipment or services produced or provided by covered companies.
- **USAC** conducts Supply Chain audits to verify carrier compliance with these rules.

Available for Public Use

## FCC Form 481: Fund Specific Sections

## Form 481: Price Cap Data

- Section 2005 collects compliance certifications from Price Cap carriers. Only Price Cap carriers will see this section.
- Frozen High Cost Support recipients must certify that they used all support received in the previous year to build and operate broadband-capable networks used to offer retail broadband in areas substantially unserved by an unsubsidized competitor in accordance with 47 CFR Section 54.313(c)(4).
- Connect America Intercarrier Compensation (ICC) support recipients must certify that they used support received in the prior calendar year to build and operate broadband-capable networks used to offer service in areas substantially unserved by an unsubsidized competitor in accordance with 47 CFR Section 54.313(d).

## Price Cap Data (2005)

#### Certify

Select the appropriate responses below to note compliance as a recipient of frozen High Cost support, High Cost support to offset access charge reductions set forth in 47 CFR 54.313(c),(d). The information reported on this form is accurate.

#### Price Cap Carrier Receiving Frozen Support Certification (2015)

Does this carrier certify compliance with the requirements in 47 CFR Section 54.313(c)(4)?

○ Yes ○ No ○ NA

#### Price Cap Carrier Connect America ICC Support (2016)

Does this carrier certify compliance with the requirements in 47 CFR Section 54.313(d)?

◯ Yes	O No	O NA		
Back	Save and Exit		Validate	Continue

## Form 481: Rate of Return Data

- Section 3005 collects financial data and certifications from Rate of Return carriers. Only Rate of Return carriers will see this section.
- Carriers can submit Rural Utilities Services (RUS) reports, balance sheets, income statements and statements of cash flows on Line 3013 and below.
- Carriers must enter the specific values required under Financial Data Summary at the bottom of the screen.

#### Rate of Return Data (3005)

Certify	
Select from the drop down menus or check the boxes below to note compliance with 54.313()(1). Privately held carriers must ensure compliance with the financial reporting requirements set forth in 47 CFR 54.313()(2). I further certify that	
the information reported on this form and in the documents attached below is accurate.	
Does this filing retain a Cost Consultant and/or Firm, or other Third Party to prepare financial and operations data disclosures submitted to the National Exchange Carrier Association (NECA), USAC, or the Administrator? (3007)	Financial Data Summary
Vies No	Enter the specified financial data below which is located on your RUS Report (attached on Line 3017) reviewed/audited financial statements (attached on Line 3026).
Certification of Public Interest Obligations (3010A)	Revenue (3027)
Does the carrier certify compliance with the requirements in 47 CFR Sections $54.313(f)(1)(i)?$	
Yes - Attach Explanation     No - Attach Explanation     Not Applicable - No Attachment Required	Operating Expenses (3028)
Rate-of-Return Community Anchor Institutions (3012A)	
Indicate if the carrier newly deployed broadband service to community anchor institution(s) in the previous calendar year.	Net Income (3029)
Yes - Attach New Community Anchors No - No New Community Anchors	Telephone Plant in Service (TPIS) (3030)
Not Applicable - No Attachment Required	
As defined in 47 CFR Section 54.313(f)(2), is your company a Privately Held ROR Carrier? (3013)           Yes         No	Total Assets (3031)
Back Save and Exit Validate Continue	Total Debt (3032)
Does your company file the RUS annual report? (3014)	
Ves No	Total Equity (3033)
ls your company audited? (3018)	
Ves No	Dividends (3034)
If the response is yes on line 3018, please check the boxes below to confirm your submission on line 3026 pursuant to § 54.313(f)(2), contains:	
Copy of their financial statement which has been subject to review by an independent certified public accountant; or (2) a financial report in a format comparable to RUS Operating Report for Telecommunications Borrowers (3022)	Back Save and Evit Validate
Underlying information subjected to a review by an independent certified public accountant (3023)	
Underlying information subjected to an officer certification (3024)	
Document(s) with Balance Sheet, Income Statement and Statement of Cash Flows (3025)	
Worksheet Listing (3026)	

## Form 481: Rural Broadband Experiment Data

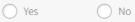
- Section 4005 collects required data from carriers receiving Rural Broadband Experiment (RBE) support. Only carriers receiving RBE support will see this section.
- This section requires carriers to certify that they offer broadband service that meets the required public interest obligations established by the FCC, including:
  - Required speed
  - Latency and usage capacity
  - Rates that are reasonably comparable to rates for similar offerings in urban areas

## Rural Broadband Experiment Data (4005)

#### Certify

#### Public Interest Obligations (4001)

Per FCC 14-98 (paragraphs 26-29 and 78), recipient certifies that it is offering broadband meeting the requisite public interest obligations consistent with the category for which they were selected, including broadband speed, latency, usage capacity, and rates that are reasonably comparable to rates for comparable offerings in urban areas.



#### **RBE** Community Anchor Institutions (4003A)

Indicate if the carrier newly deployed broadband service to community anchor institution(s) in the previous calendar year.

Yes - Attach New Community Anchors

🔘 No - No New Community Anchors

Save and Exit

Back

Validate

Continue

# Form 481: Alaska Plan Participants

- Section 5005 collects required data from carriers receiving Alaska Plan support. Only Alaska Plan carriers will see this section.
- Rate of Return carriers must indicate whether they have terrestrial backhaul.
- Alaska Plan competitive carriers must:
  - Report any newly available terrestrial or other satellite backhaul.
  - If Yes, line 5013 will appear to upload details.

## Alaska Plan Participants (5005)

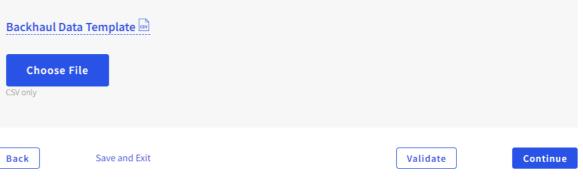
### Certify Terrestrial Backhaul

#### Newly Available Terrestrial or other Satellite Backhaul (RoR Carriers) (5011)

Indicate whether any terrestrial backhaul or other satellite backhaul became commercially available in the previous calendar year in areas previously served exclusively by performance-limiting satellite backhaul.

🔵 Yes No

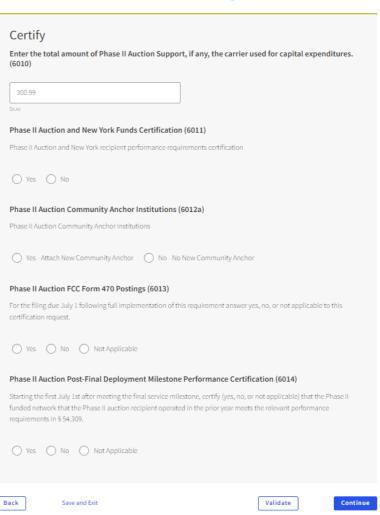
Upload Backhaul Technology Data (5013A - 5013C)



# Form 481: Phase II Auction Reporting

- Section 6005 collects required data from carriers receiving CAF Phase II Auction support. Only carriers receiving CAF II Auction support will see this section.
- Carriers must indicate whether their CAF II Auctionfunded network met relevant performance requirements in the prior year.
- Carriers should select Not Applicable when asked if they submit bids to provide Category One telecom and/or internet access services in response to Form 470 postings for schools or libraries seeking service (line 6013). (Requirement to bid not active yet)

### Phase II Auction Reporting (6005)



# Form 481: Phase-Down Support Reporting

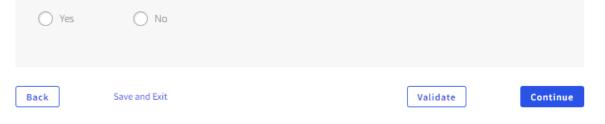
- Section 7005 collects required data from carriers receiving Frozen High Cost phase-down support. Only carriers receiving phase-down support will see this section.
- Carriers must indicate whether they used all phase-down support received in the previous year to provide voice service throughout the high-cost and extremely high-cost census blocks where they have ETC designation.
- ETCs must provide voice service in high cost areas at rates that are reasonably comparable to rates for similar offerings in urban areas.

## Phase-Down Support Reporting (7005)

### Certify

#### Price Cap Carrier and Fixed Competitive Eligible Telecommunications Carrier Transitional Support Requirement Certification. (7010)

This certification request applies to any price cap carrier or fixed competitive eligible telecommunications carrier that elects to continue receiving support pursuant to Section 54.312(d) or Section 54.307(e)(2)(iii) starting July 1, 2020, and annually thereafter on July 1 for each subsequent year they receive such support. These carriers must use this support throughout specific high-cost and extremely high-cost census blocks where they continue to have the federal high-cost ETC obligation to provide voice service pursuant to Section 54.201(d) at rates that are reasonably comparable to comparable offerings in urban areas. Per Section 54.313(m), does the carrier certify that they used all such support received in the previous year to provide voice service in compliance with the above obligation?



- Section 8005 collects required data from carriers receiving Uniendo a Puerto Rico fixed and mobile support. Only carriers receiving Uniendo a PR support will see this section.
- Carriers receiving **fixed** support must:
  - Report amount of support used for capital expenditures.
  - Certify to the availability of funds.
  - Report newly deployed service to community anchor institutions.
  - Select Not Applicable when asked if they submit bids to provide Category One telecom and/or internet access services in response to Form 470 postings for schools or libraries seeking service (Line 8013). (Requirement to bid not active yet)

## Puerto Rico Fixed and Mobile Funds Certification (8005)

#### Certify Fixed

#### Uniendo a Puerto Rico Stage 2 Fixed - Capital Expenditures (8010)

Enter the total amount of Uniendo a Puerto Rico Stage 2 fixed support, if any, the carrier used for capital expenditures.

### 1.72

#### Uniendo a Puerto Rico Stage 2 Fixed - Available Funds Certification (8011)

Certify (either yes or no) regarding whether the recipient has available funds for all project costs that will exceed the amount of support that will be received for the next calendar year. This certification must be provided starting the first July 1 after receiving support until the recipient's penultimate year of support.

#### 🔿 Yes 🔘 No

#### Uniendo a Puerto Rico Stage 2 Fixed - Community Anchor Institutions (8012A)

Indicate if the carrier newly deployed broadband service to community anchor institution(s) in the previous calendar year.

O Yes - Attach New Community Anchors O No - No New Community Anchors

#### Uniendo a Puerto Rico Stage 2 Fixed - FCC Form 470 Postings (8013)

For the filing due July 1 following full implementation of this requirement answer yes, no, or not applicable to this certification request.

## • Carriers receiving **fixed** support must certify:

- Starting the first July 1 after meeting the final service milestone, that the Uniendo a Puerto Rico Stage 2-funded network operated in the prior year offers broadband service with latency suitable for real-time applications, including Voice over Internet Protocol, and usage capacity that is reasonably comparable to offerings in urban areas, at rates that are reasonably comparable to rates in urban areas in accordance with 47 CFR Section 54.309.
- That they did not use USF support for costs that are (or will be) reimbursed by other sources of support.
- That they have conducted an annual review to determine the need for and/or changes to their disaster and recovery plan.

### Puerto Rico Fixed and Mobile Funds Certification (8005)

#### Certify Fixed

### Uniendo a Puerto Rico Stage 2 Fixed - Post-Final Deployment Milestone Performance Certification (8014)

Starting the first July 1 after meeting the final service milestone, certify (yes or no) that the Uniendo a Puerto Rico Stage 2-funded network that the Stage 2 recipient operated in the prior year meets the relevant performance requirements in Section 54.309.

#### ○ Yes ○ No ○ Not Applicable

#### Uniendo a Puerto Rico Stage 2 Fixed - Support Reimbursement Certification (8020)

54.313(n): Recipients of Uniendo a Puerto Rico Fund Stage 2 fixed support shall certify that such support was not used for costs that are (or will be) reimbursed by other sources of support, including of federal or local government aid or insurance reimbursements; and that support was not used for other purposes, such as the retirement of company debt unrelated to eligible expenditures, or other expenses not directly related to network restoration, hardening, and expansion consistent with the framework of the Uniendo a Puerto Rico Fund.

#### 🔘 Yes i 🔘 No

#### Uniendo a Puerto Rico Stage 2 Fixed - Disaster Preparedness and Response Documentation (8030)

54.313(n): Recipients of fixed support from Stage 2 of the Uniendo a Puerto Rico Fund shall certify that they have conducted an annual review of the documentation required by section 54.1515(a)-(c) to determine the need for and to implement changes or revisions to disaster preparation and recovery documentation.

🔘 Yes 🔘 No

- Carriers receiving **mobile** support must certify:
  - That they did not use USF support for costs that are (or will be) reimbursed by other sources of support or used contrary to FCC Rules.
  - That they have conducted an annual review to determine the need for and/or changes to their disaster and recovery plan.
  - That they are in compliance with all FCC requirements to continue receiving Stage 2 disbursements.

## Puerto Rico Fixed and Mobile Funds Certification (8005)

#### Certify Mobile

#### Uniendo a Puerto Rico Stage 2 Mobile - Support Reimbursement (8040)

54.313(n): Recipients of Uniendo a Puerto Rico Fund Stage 2 mobile support shall certify that such support was not used for costs that are (or will be) reimbursed by other sources of support, including of federal or local government aid or insurance reimbursements; and that support was not used for other purposes, such as the retirement of company debt unrelated to eligible expenditures, or other expenses not directly related to network restoration, hardening, and expansion consistent with the framework of the Uniendo a Puerto Rico Fund.

#### 🔵 Yes 🔵 No

#### Uniendo a Puerto Rico Stage 2 Mobile - Disaster Preparedness and Response Documentation (8050)

54.313(n): Recipients of mobile support from Stage 2 of the Uniendo a Puerto Rico Fund shall certify that they have conducted an annual review of the documentation required by section 54.1515(a)-(c) to determine the need for and to implement changes or revisions to disaster preparation and recovery documentation.

#### 🔘 Yes 🔵 No

#### Uniendo a Puerto Rico Stage 2 Mobile - Mobile Disbursements Certification (8060)

54.313(o): Recipients of Uniendo a Puerto Rico Fund Stage 2 mobile support shall certify that they are in compliance with all requirements for receipt of such support to continue receiving Stage 2 mobile disbursements.

🔵 Yes 🔵 No

### • Carriers receiving **transitional** support must certify:

- That support was not used for costs that are (or will be) reimbursed by other sources of support; that support was not used for other purposes or expenses not directly related to network restoration, hardening, and expansion; and that they have conducted an annual review of the documentation required by § 54.1515(a) through (c) or § 54.1524, respectively, to determine the need for and to implement changes or revisions to disaster preparation and recovery documentation. Answer yes or no if carrier is compliant with 54.313(q).
- Carriers receiving legacy frozen phase-down support must certify:
  - That phase-down support received after June 1, 2023, was used for resiliency and redundancy measures and to maintain voice and broadband network footprint. (For annual reports due in 2024, 2025, and 2026.)

## Puerto Rico Fixed and Mobile Funds Certification (8005)

#### Transitional Support (8070)

Recipients of transitional support under Section 54.1516 shall certify that such support was not used for costs that are or will be reimbursed by other sources of support, or expenses not directly related to network restoration, hardening, and expansion consistent with the framework of the Uniendo a Puerto Rico Fund. Transitional support recipients shall certify that they have conducted an annual review to determine that their disaster preparation and recovery documentation is up to date, as required by Section 54.1515(a) through (c) or Section 54.1524. Answer yes or no if carrier is compliant with 54.313(q).

#### 🔵 Yes 🔵 No

#### Spending Plans for Recipients of Legacy Frozen Phase-Down Support (8080)

For annual reports due in 2024, 2025, and 2026, recipients of frozen high-cost support under Section 54.1504(b) shall certify that such support received after June 1, 2023 was used for resiliency and redundancy measures and to maintain their voice and broadband network footprint.

#### 🔵 Yes 🔵 No

- Section 9005 collects required data from carriers receiving Connect USVI fixed and mobile support. Only carriers receiving Connect USVI support will see this section.
- Carriers receiving **fixed** support must report:
  - The amount of support used for capital expenditures and availability of funds.
  - Whether they met relevant performance requirements in the prior year.
  - Whether they provide service to community anchor institutions.
- Carriers receiving **fixed** support should select Not Applicable when asked if they submit bids to provide Category One telecom and/or internet access services in response to Form 470 postings for schools or libraries seeking service (Line 9013). (Requirement to bid not active vet)

### Connect USVI Fixed and Mobile Funds Certification (9005)

#### Certify Fixed

Connect USVI Stage 2 Fixed - Capital Expenditures (9010)

Enter the total amount of Connect USVI Fund Stage 2 fixed support, if any, the carrier used for capital expenditures.



#### Connect USVI Stage 2 Fixed - Available Funds Certification (9011)

Certify (either yes or no) regarding whether the recipient has available funds for all project costs that will exceed the amount of support that will be received for the next calendar year. This certification must be provided starting the first July 1 after receiving support until the recipient's penultimate year of support.

🔘 Yes 🔘 No

#### Connect USVI Stage 2 Fixed - Community Anchor Institutions (9012A)

Indicate if the carrier newly deployed broadband service to community anchor institution(s) in the previous calendar year.

🔘 Yes - Attach New Community Anchors 🛛 🔘 No - No New Community Anchors

#### Connect USVI Stage 2 Fixed - FCC Form 470 Postings (9013)

For the filing due July 1 following full implementation of this requirement answer yes, no, or not applicable to this certification request.

O Yes O No O Not Applicable

- Carriers receiving **fixed** support must certify:
  - Starting the first July 1 after meeting the final service milestone, that the Connect USVI Stage 2-funded network operated in the prior year offers broadband service with latency suitable for real-time applications, including Voice over Internet Protocol, and usage capacity that is reasonably comparable to offerings in urban areas, at rates that are reasonably comparable to rates in urban areas, in accordance with 47 CFR Section 54.309.
  - That they did not use USF support for costs that are (or will be) reimbursed by other sources of support or for other purposes not directly related to network restoration, hardening and expansion.
  - That they have conducted an annual review to determine the need for and/or changes to their disaster and recovery plan.

### Connect USVI Fixed and Mobile Funds Certification (9005)

#### Certify Fixed

#### Connect USVI Stage 2 Fixed - Post-Final Deployment Milestone Performance Certification (9014)

Starting the first July 1 after meeting the final service milestone, certify (yes or no) that the Connect USVI Fund Stage 2funded network that the Stage 2 recipient operated in the prior year meets the relevant performance requirements in Section 51.309.

#### 🔿 Yes 🔿 No 🔿 Not Applicable

#### Connect USVI Stage 2 Fixed - Support Reimbursement Certification (9020)

54.313(n): Recipients of Connect USVI Fund Stage 2 fixed support shall certify that such support was not used for costs that are (or will be) reimbursed by other sources of support, including of federal or local government aid or insurance reimbursements; and that support was not used for other purposes, such as the retirement of company debt unrelated to eligible expenditures, or other expenses not directly related to network restoration, hardening, and expansion consistent with the framework of the Connect USVI Fund.

#### 🔘 Yes 🔘 No

#### Connect USVI Stage 2 Fixed - Disaster Preparedness and Response Documentation (9030)

54.313(n): Recipients of fixed support from Stage 2 of the Connect USVI Fund shall certify that they have conducted an annual review of the documentation required by section 54.1515(a)-(c) to determine the need for and to implement changes or revisions to disaster preparation and recovery documentation.

🔘 Yes 🔘 No

- Carriers receiving **mobile** support must certify:
  - That they did not use USF support for costs that are (or will be) reimbursed by other sources of support or contrary to FCC rules.
  - That they have conducted an annual review to determine the need for and/or changes to their disaster and recovery plan.
  - That they are in compliance with all FCC requirements to continue receiving Stage 2 mobile disbursements.

### Connect USVI Fixed and Mobile Funds Certification (9005)

#### Certify Mobile

#### Connect USVI Stage 2 Mobile - Support Reimbursement (9040)

54.313(n): Recipients of Connect USVI Fund Stage 2 mobile support shall certify that such support was not used for costs that are (or will be) reimbursed by other sources of support, including of federal or local government aid or insurance reimbursements; and that support was not used for other purposes, such as the retirement of company debt unrelated to eligible expenditures, or other expenses not directly related to network restoration, hardening, and expansion consistent with the framework of the Connect USVI Fund.

#### 🔘 Yes 🔵 No

#### Connect USVI Stage 2 Mobile - Disaster Preparedness and Response Documentation (9050)

54.313(n): Recipients of mobile support from Stage 2 of the Connect USVI Fund shall certify that they have conducted an annual review of the documentation required by Section 54.1515(a)-(c) to determine the need for and to implement changes or revisions to disaster preparation and recovery documentation.

#### 🔵 Yes 🔵 No

#### Connect USVI Stage 2 Mobile - Mobile Disbursements Certification (9060)

54.313(o): Recipients of Connect USVI Fund Stage 2 mobile support shall certify that they are in compliance with all requirements for receipt of such support to continue receiving Stage 2 mobile disbursements.

🔵 Yes 🔵 No

Back



- Carriers receiving **transitional** support must certify:
  - That support was not used for costs that are (or will be) reimbursed by other sources of support; that support was not used for other purposes or expenses not directly related to network restoration, hardening, and expansion; and that they have conducted an annual review of the documentation required by § 54.1515(a) through (c) or § 54.1524, respectively, to determine the need for and to implement changes or revisions to disaster preparation and recovery documentation. Answer yes or no if carrier is compliant with 54.313(q).
- Carriers receiving legacy frozen phase-down support must certify:
  - That phase-down support received after June 1, 2023, was used for resiliency and redundancy measures and to maintain voice and broadband network footprint. (For annual reports due in 2024, 2025, and 2026.)

## Connect USVI Fixed and Mobile Funds Certification (9005)

#### Transitional Support (9070)

Recipients of transitional support under Section 54.1516 shall certify that such support was not used for costs that are or will be reimbursed by other sources of support, or expenses not directly related to network restoration, hardening, and expansion consistent with the framework of the Connect USVI Fund. Transitional support recipients shall certify that they have conducted an annual review to determine that their disaster preparation and recovery documentation is up to date, as required by Section 54.1515(a) through (c) or Section 54.1524. Answer yes or no if carrier is compliant with 54.313(q).

### 🔿 Yes 🔵 No

#### Spending Plans for Recipients of Legacy Frozen Phase-Down Support (9080)

For annual reports due in 2024, 2025, and 2026, recipients of frozen high-cost support under Section 54.1504(b) shall certify that such support received after June 1, 2023 was used for resiliency and redundancy measures and to maintain their voice and broadband network footprint.

🔵 Yes 🔵 No

# Form 481: Rural Digital Opportunity Fund Reporting

- Section 10005 collects information from Rural Digital Opportunity Fund (RDOF) recipients. Only RDOF carriers will see this section.
- RDOF participants must report:
  - Total amount of support used for capital expenditures.
  - Availability of funds.
  - Whether they provide service to community anchor institutions.
- Carriers should select Not Applicable when asked if they submit bids to provide Category One telecom and/or internet access services in response to Form 470 postings for schools or libraries seeking service (Line 10013). (Requirement to bid not active yet)
- Starting the first July 1 after a RDOF recipient meets its final service milestone until the July 1 after the support recipient's support term has ended, carriers must certify that the RDOF-funded network operated in the prior year offers broadband service with latency suitable for real-time applications, including Voice over Internet Protocol, and usage capacity that is reasonably comparable to offerings in urban areas, at rates that are reasonably comparable to rates in urban areas, in accordance with 47 CFR Section 54.309.

Rural Digital Opportunity Fund Reporting (10005)

#### Certify RDOF

#### RDOF Capital Expenditures (10010)

Starting the first July 1 after receiving support until the July 1 after the recipient's support term has ended, recipients of Rural Digital Opportunity Fund support must submit the total amount of support, if any, the recipient used for capital expenditures in the previous calender year. This is required by 47 C.F.R. Section 54.3316(2)(0)(B).

30561.46 Soox

#### RDOF Available Funds Certification (10011)

Please provide a response (either yes or no) to this certification request for any recipient of Rural Digital Opportunity Fund support that the recipient has available funds for all project costs that will exceed the amount of support that will be received for the next calendar year. This certification must be provided starting the first July 1 after receiving support until the recipient's penultimate year of support, as required by required by 47 C.F.R. Section 54.31(e)(2)(0).

O Yes O No

#### RDOF Community Anchor Institutions (10012A)

Recipients of Rural Digital Opportunity Fund support must attach a list containing the number, names, and addresses of community anchor institutions to which the eligible telecommunications carrier newly began providing access to broadband service in the preceding calendar year. This filing is negared by 47 C.F.R. Section 51.313(e)(2)(i)(i).

Yes - Attach New Community Anchors O No - No New Community Ancho

#### RDOF FCC Form 470 Postings (10013)

For the filing due July 18 following full implementation of this requirement, please provide a response (either yes, no, or not applicable) to this certification request. Recipients of Rural Digital Opportunity Fund must respond affirmatively that here yield on category on reflectomumications and Internet access services in response to all FCCF orm 470 positings serving broadchand service that meets the connectivity targets for the schools and Ibnanes universal service support program for eligible schools and Ibnanes (as described in Section 54:501) located within any area in a census block where the cartier's meeting Bural Digital Opportunity Fund, and that such bids were at rates reasonable comparable to nates charged to eligible schools and Ibnanes in urban areas for Instructions for Completing FCC Form 481, DMB Control 0.5000-686 (https://scati) dMB Control Na. 3006-0881 (https://scati.dmB Control Na. 3006-0881 (https://scati.dmB Control Na. 3006-3086 (https://scati.dmB Control Na Control Na Northere 2008 (https://scati.dmB Control Na Northere 2008 (https://scati.dmB Control

#### O Yes O No O Not Applicable

#### RDOF Post-Final Deployment Milestone Performance Certification (10014)

Starting the first July 1st after a Rural Digital Opportunity Fund recipient meets its final service milestone until the July 1st after the support recipient's support term has ended, please provide a response (either yes, no, or not applicable) that the Rural Digital Opportunity Fund-funded network that the support recipient operated in the prior year meets the relevant performance requirements in 47 C.F.R. Section 54.308, This filing is required by 47 C.F.R. Section 54.319(e)(2)(iii),

○ Yes ○ No ○ Not Applicable

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# FCC Form 481: Resources

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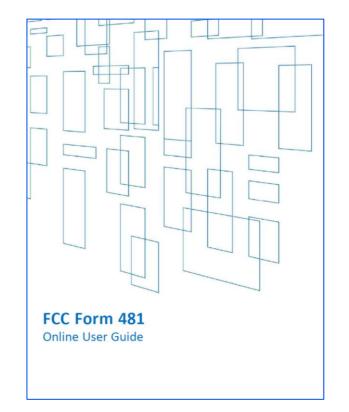
# Form 481 Resources

- Resources to help carriers complete Form 481 include:
  - User Guide
  - Filing Guide
  - Upload Templates

## www.usac.org/high-cost/resources/forms-and-filing-deadlines

# Form 481 Resources: User Guide

- Steps to start a new filing or complete a pre-existing filing
- Information on how to populate the form, including:
  - How to navigate the system
  - Overview of each line item and possible answers
  - Instructions on how to attach files
- Instructions on how to certify a filing



# Form 481 Resources: Filing Guide

• The Filing Guide provides notes and associated rules for each section.

481 Section & Issue	High Cost Program Rule	Notes	Lifeline Program Rule	Lifeline Program Filers
Section 600: Emergency certification	54.313(a)(1)	Certify for voice and for broadband service	54.422(b)(4)	54.422 only filings where Lifeline ETC is FCC- designated
Section 1000: Voice and Broadband Service Rate Comparability	54.313(a)(2) and (a)(3)	Certify that voice and broadband rates are reasonably comparable. Identify most recent wireline survey (see Section 1000 in FAQ for details).	N/A	N/A

# Form 481 Resources: Upload Templates

- Use templates to provide required data on certain sections.
- Rate of Return carriers may use the 3005 template to submit financial data, but this is not required.

# FCC Form 481 Templates

- 200 Service Outages Upload Template 🔜
- 800 Operating Company Upload Template 🔜
- 3005 Rate of Return Data Upload Template
- Community Anchor Institution Upload Template
- 🗧 5005 Alaska Plan Upload Template 📾

# Form 481 Resources: High Cost Support Center

1-844-357-0408

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# **Questions?**

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