



# **New 499 Filer ID Basics**

Overview for Service Providers

September 10, 2024



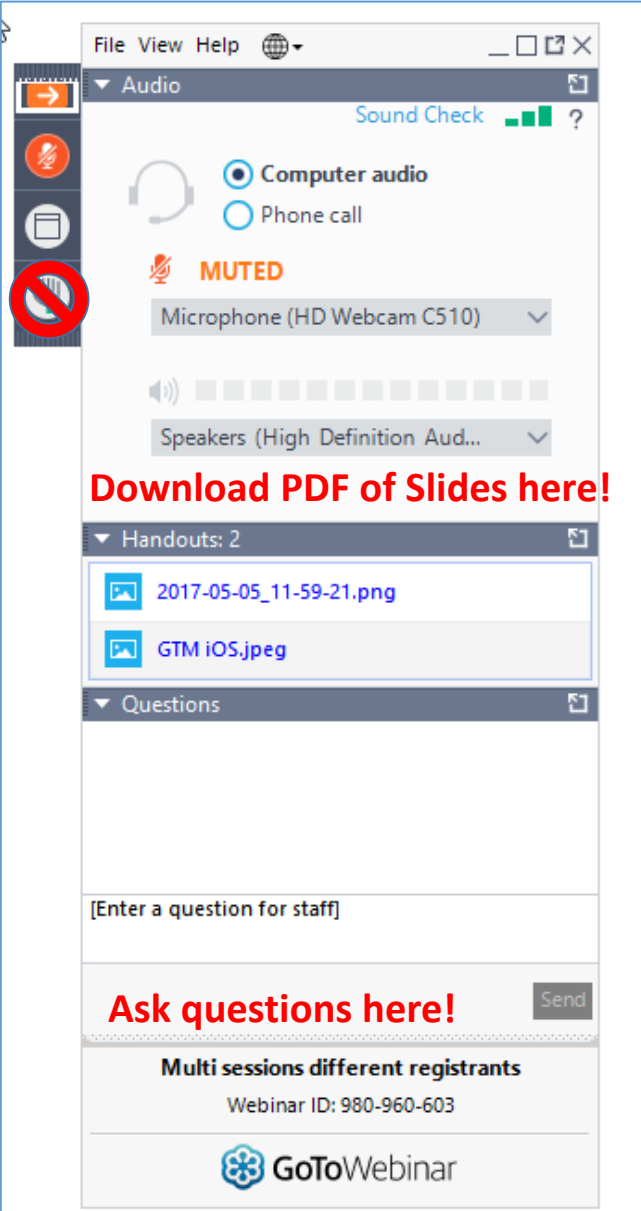
Universal Service  
Administrative Co.

# DISCLAIMER

To accommodate all attendees, real-time closed captions will be present during this presentation. We apologize in advance for any transcription errors or distractions. Thank you for your support.

# Housekeeping

- The audience will remain on mute.
- Enter questions at any time using the “**Questions**” box.
- A copy of the presentation is in the “**Handouts**” section of webinar panel.
- This webinar is being recorded and a copy of the presentation will be on our website under Service Providers and “**Webinars**”.



The screenshot displays the GoToWebinar interface. At the top, there is a menu bar with 'File', 'View', and 'Help'. Below it, the 'Audio' section is expanded, showing 'Computer audio' selected and 'Phone call' unselected. A 'MUTED' indicator is visible next to the microphone icon. The microphone is set to 'Microphone (HD Webcam C510)'. Below the microphone settings, there is a volume slider and the speaker settings are set to 'Speakers (High Definition Aud...)'. A red circle with a slash is overlaid on the microphone icon. Below the audio settings, the 'Handouts: 2' section is expanded, showing two files: '2017-05-05\_11-59-21.png' and 'GTM iOS.jpeg'. The 'Questions' section is also expanded, showing a text input field with the placeholder '[Enter a question for staff]' and a 'Send' button. Below the questions section, there is a red text prompt 'Ask questions here!' and a 'Send' button. At the bottom, the text 'Multi sessions different registrants' and 'Webinar ID: 980-960-603' is displayed, along with the GoToWebinar logo.

Download PDF of Slides here!

# Meet the Presenter

## **Al Cipparone**

Senior Telecom Industry Analyst | Contributor Operations

Mr Cipparone is a member of the 499 team that analyzes revenue reported on the FCC Form 499-A.

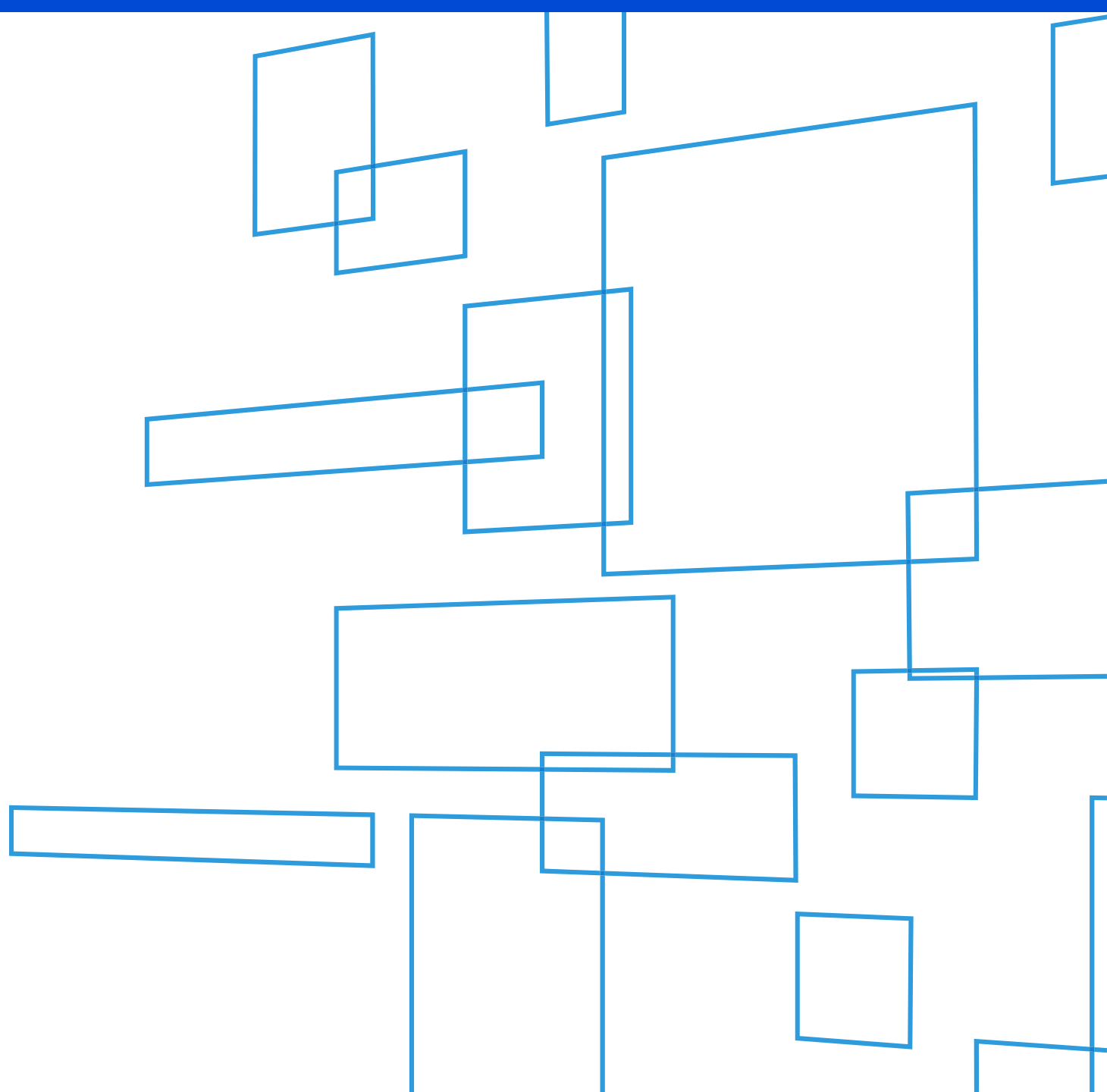
Al joined USAC in 2022 after many years in the telecommunications industry.

Raised in mid-Michigan before moving to the Washington, DC area.

**Email: [Form499@usac.org](mailto:Form499@usac.org)**

## Webinar Overview

In this webinar, designed for service providers new to USAC, we'll cover the basics of the 499 ID and the filing requirements.



# Agenda

- 498 ID & 499 ID Purpose
- USAC's Website
- 499 ID Assignment
- Filing Calendar
- Quarterly Form 499-Q
- Annual Form 499-A

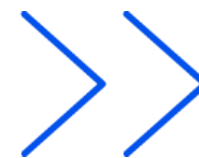
# 498 ID & 499 ID

## Understanding the Difference

## Purpose of These IDs

### 499 ID

- Takes its name from the registration form – FCC Form 499-A
- Identification used to contribute to the Universal Service Fund



### 498 ID

- Takes its name from the registration form – FCC Form 498
- Identification used to receive Universal Service funding

Universal Service Fund



# ID Differences

## 498 ID

Nine-digit number beginning with “143” or “443”  
(i.e. 143999999, or 443999999)

### Who –

Telecommunications Providers

- Nine-digit number beginning with “143”

**Why** - to receive funding from any of the 4 programs USAC administers: *Rural Health Care, High Cost, Lifeline, E-Rate*

## 499 ID

Six-digit number beginning with an “8” (i.e. 889993)

**Who** - All telecommunications Providers, including VoIP service providers and resellers

**Why** - to contribute to the Universal Service Fund

**ALWAYS include these numbers on any correspondence with USAC.**

**Companies that have a 498 ID don't necessarily have to have a 499 ID, and vice versa!**

# 499 ID Assignment

## Next Steps

# Email Notification of Assignment

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## Next Steps

Two emails were sent to the Company Officer listed on the registration form.

1. Company Officer username and log in instructions for E-File.
2. Assignment of the 499 Filer ID and a list of forms you are required to file.

The Company Officer should now add any employees as users to USAC's E-File system. Functions performed in E-File are form preparers, additional company officers and employees responsible for paying USAC invoices.

**ALL FCC Forms must be submitted online through E-File. Paper copies are not accepted.**

# Required Form Filings

## Included in Assignment Email

The FCC Forms listed are based on the date you entered as the date you started selling telecom.

If you've entered the date the company started, you'll need to correct it!



### FCC FORM 499 APPROVAL CONFIRMATION

Thank you for registering with USAC to set up the FCC Form 499 account for [REDACTED] LLC. USAC has completed the processing of your registration and the new 499 Filer ID for [REDACTED]. Please review "What and How to File" [in the Contributor section](#) on USAC's website to determine which future FCC Form 499 filings you are required to submit.

#### Required Previous FCC Form 499 Filings

The information reported on line 228 states that N[REDACTED] LC first provided telecommunications in U.S. on **May 2023** and because of this Nati[REDACTED] must complete and submit the following previous FCC Form 499 filings:

#### 499Q Filings

- May 2023 FCC Form 499-Q
- February 2023 FCC Form 499-Q

Please note: FCC Form 499A 2014 and up filings must be submitted electronically through E-file while FCC Form 499A 2013 and prior must be sent via hardcopy mail to USAC.

NOTE: FCC Form 499A 2014 and up filings must be submitted electronically through E-file while FCC Form 499A 2013 and prior must be sent via hardcopy mail to USAC.

If you have any questions, please contact USAC at (888)641-8722 or [CustomerSupport@usac.org](mailto:CustomerSupport@usac.org).

# Online Resources

# USAC Website

[www.usac.org](http://www.usac.org)

🔔 COVID-19 Update: USAC remains open for business. [Learn more about USF program responses.](#)



Subscribe Payments Open Data **Sign In**



Tools – Sign in to USAC’s online systems

About ▾ E-Rate ▾ Rural Health Care ▾ Lifeline ▾ High Cost ▾ Service Providers ▾



Program Specific webpages



Connecting Millions  
to Broadband  
Services

The Universal Service Fund helps communities and people across the U.S. stay connected to the information, resources, and care they need.



USAC administers the Universal Service Fund under the direction of the Federal Communications Commission (FCC).

Scroll down the page for links to program pages, as well as statistics on the universal service fund.

# Newsletter Subscription

🔔 **COVID-19 Update:** USAC remains open for business—Washington, DC office closed. [Learn more about USF program responses.](#)



Subscribe

Payments

Open Data

Sign In

Found on main webpage  
at [www.usac.org](http://www.usac.org)

About ▾

E-rate ▾

Rural Health Care ▾

Lifeline

## Choose Program

### Affordable Connectivity Program (ACP)

- Claims Updates
- Consumer Group Updates
- Program Updates
- Service Provider Training Invitations
- System and Technical Updates

### E-Rate

- News Brief
- Tribal Newsletter

### Tribal Stakeholders

### High Cost

- Detailed HUBB Updates
- Program Updates

### Lifeline

- Program Newsletter
- NLAD Bulletin
- Consumer Advocates

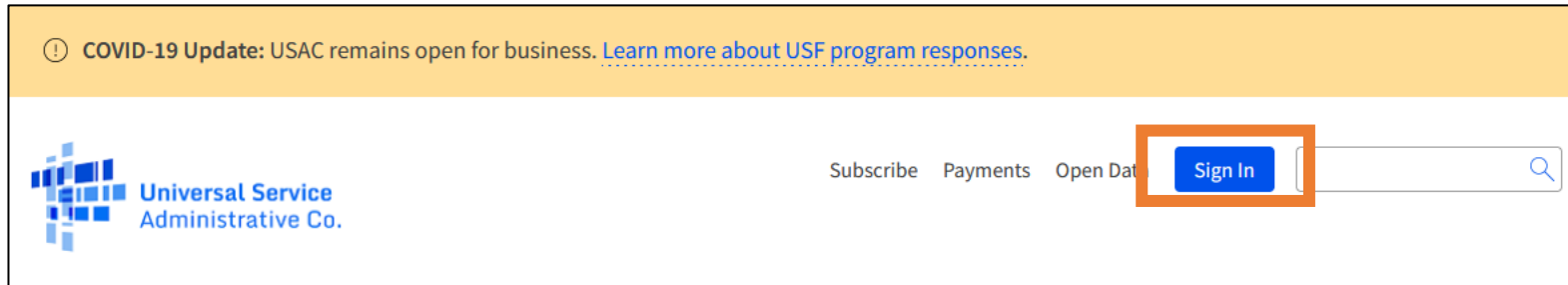
### Rural Health Care (RHC) Program

- Healthcare Connect Fund (HCF) Program (Consortia)
- Healthcare Connect Fund (HCF) Program (Individual HCPs)
- Telecom Program

### Service Providers

- FCC Form 499 (Contributors)

# USAC's Online Filing System – E-File



'Sign In' page will prompt you for a username and password to log in to the E-File system.

USAC maintains security using a multi-factor authentication system, sending the user id (email address) a 6-digit code to enter, prior to logging in to the system.

It's important not to share usernames.  
That's a violation of our terms and conditions.

A screenshot of the USAC "Sign In" page. At the top right is the USAC logo. The main content area has a light gray background. It contains a "Username" label above a text input field containing "AmyOfficer@test.com". Below that is a "Password" label above a text input field with masked characters ".....". A "Forgot password?" link is positioned below the password field. A paragraph of terms and conditions follows, starting with "You are accessing a portal to Universal Service Administrative Company (USAC) systems...". Below the terms is a checked checkbox with the text "Click the box to accept". Underneath is the text "By signing in, I accept the [terms and conditions](#) of the USAC system." At the bottom of the form is a blue "Sign In" button. Below the form area is a link: "Don't have an account? [Create an account](#)".



# Service Provider Specific Menu

Click on the words '**Service Providers**' and you'll see a drop-down menu with topics specific to Service Providers, the Forms 499 and 498 as well as a monthly invoice explanation.

Use the search box in the upper right-hand corner if navigating the menu is confusing.

The screenshot displays the website's header and navigation menu. The logo for Universal Service Administrative Co. is on the left. The top right features links for 'Subscribe', 'Payments', 'Open Data', and a 'Sign In' button. A search box is highlighted with an orange border. The navigation menu includes 'About', 'E-rate', 'Rural Health Care', 'Lifeline', and 'Hi'. The 'Hi' menu item is highlighted with a blue arrow pointing to a 'Service Providers' dropdown menu. This dropdown menu lists various topics such as 'Service Providers Home', 'Making Payments', 'Participating in a USF Program', 'Learn', 'Contributing to the USF', 'How to Pay', 'Register for a 498 ID', 'Videos', 'Who Must Contribute', 'Contribution Factors', 'Manage Your 498 ID', 'Webinars', 'Register for a 499 ID', 'Invoices', 'Disbursement Schedules', 'Newsletter', 'Manage Your 499 ID', 'Late Payments, DCIA, Red Light', 'DATA Act', 'FAQs', 'Forms to File', 'Billing Disputes', 'Resources', 'Contact Us', 'When to File', 'Credit Balance Refunds', 'Announcements', 'What to Expect After Filing', 'Annual True-Up Process', 'Appeals and Audits', 'Making Revisions', 'Non-USAC Payments', 'Forms & Instructions', 'Glossary of Terms', and 'Request Invoice Copy', 'Multi-Factor Authentication'.

# Webinar Training Announcements

## Annual Form Training

- February

## Monthly Trainings

- New 499 ID
- Office Hours – open topic and discussion

Previous webinar recordings also stored here.



[Subscribe](#) [Payments](#) [Open Data](#)

[Sign In](#)

[About](#) ▾

[E-rate](#) ▾

[Rural Health Care](#) ▾

[Lifeline](#) ▾

[High Cost](#) ▾

[Service Providers](#) ▾

[Service Providers Home](#)

[Contributing to the USF](#)

[Who Must Contribute](#)

[Register for a 499 ID](#)

[Manage Your 499 ID](#)

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# Additional Learning Resources


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The USAC website offers several options to learn via our online video library as well.

Click on the words 'Service Providers' on the main webpage.

## Videos

These videos are designed to help you understand and manage the form filing process, FCC requirements, and other elements to offer service provider support.

- 
- [Overview](#)
  -  [Accessing E-File](#)
  - [499 Filer ID Basics](#)
  - [E-File Navigation](#)

- [FCC Form 498](#)
- [FCC Form 499-A](#)
-  [FCC Form 499-Q](#)

# Contacting USAC

Message System within E-File

# Customer Specific Contact

The best way to contact your assigned 499 analyst is via E-File Messages.

Located within the E-File portal, a secure message can be sent to ask specific questions about your filer ID, Forms 499, payments, invoice and any other inquiry.

The screenshot displays the 'Admin Center' interface for a customer. At the top right, there is a 'Log Out' link. Below the header, the customer's ID '889993' is entered in a search box, with a 'Search' button next to it. The customer's name 'USAC Test Amy' and ID '889993' are prominently displayed. To the right, there is a toggle switch for 'Notify USAC of De Minimis Status', which is currently turned off. Below this, the 'Filer Status' is listed as 'Active'. There are three expandable sections: 'Company Contacts +', 'Associated 498 IDs +', and 'Comments'. Below these are buttons for 'Company Details' and 'Comments'. At the bottom, there is a navigation bar with three tabs: '499 Forms', 'Payments', and 'Messages (0)'. The 'Messages (0)' tab is highlighted with an orange border. Below the navigation bar, the text '499 Forms' is visible.

# Adding E-File Users

# Add Authorized Users in E-File

Only Company Officers can grant E-File access to additional users.

Click the down arrow next to your user ID in the top right-hand corner of the screen, then select the option, 'Add/Remove 499 Users'.

At the next screen, click the blue button '+Add User Accounts'.

The screenshot illustrates the process of adding authorized users. On the left, a user profile dropdown menu for 'AmyOfficer@test.com' is shown, with the 'Add/Remove 499 Users' option highlighted. An orange arrow points from this menu to the main interface. The main interface is titled 'ADD OR REMOVE USER ACCOUNTS' and features a blue '+ Add User Accounts' button highlighted with an orange box. Below this, there is a table with columns for 'Officer(s)', 'Remove', 'Preparer(s)', and 'Remove'. The table contains one row for 'Amy Officer' with the email 'AmyOfficer@test.com' and a 'Remove' checkbox. At the bottom of the interface, there are 'Save' and 'Cancel' buttons.

Officer(s)	Remove	Preparer(s)	Remove
Amy Officer	<input type="checkbox"/>		<input type="checkbox"/>

# Add Authorized Users in E-File

The screenshot shows the 'ADD NEW USER ACCOUNT' form on the Universal Service Administrative Co. E-File website. The form includes a header with the company logo and name, a navigation bar with 'E-FILE' and 'ADD NEW USER ACCOUNT', and a light blue instruction box that reads: 'Enter the email address of the user you would like to add and click "Submit".' Below this is a text input field labeled 'Email Address:'. At the bottom of the form are two buttons: 'Submit' and 'Cancel'. The footer contains the copyright notice '© 1997-2021, Universal Service Administrative Company, All Rights Reserved.' and a link for 'Website & Privacy Policies | Website Feedback'.

- The email address entered also acts as your E-File user ID
- The email address entered is specific to the person and not an email address shared with another person(s) or a generic email address (e.g. Billing @gmail.com)
- The email address listed above is the address to which business communications, including confidential business communications, may be sent.



# Add Authorized Users in E-File



E-FILE

"ADD USER ACCOUNT" NON-EXISTING ACCOUNT

Email Address:

AnnOfficer@test.com

**i** This email address is not associated with an existing E-File user account. To continue granting entitlements to this person, complete the requested information below. Select whether the person's E-File account should have the capabilities of a company officer or preparer; select the Filer ID(s) the person should have access to, and click "Submit."

Name

First

MI

Last

Position Title

Job Title

Phone Number

XXX-XXX-XXXX

Ext.

Click this box to confirm that

- The email address listed above is specific to the person and not an email address shared with another person(s); and
- The email address listed above is the address to which business communications, including confidential business communications, may be sent. The email address will be used to create an E-File user account and/or give the existing E-File user account additional entitlements.
- If granting company officer entitlements to the person listed above, clicking the box also confirms that the person listed above is a company officer of the selected company(ies) and Filer ID(s) identified below. [Click here for more information](#)

- Enter in the contact information of the user you are adding.
- Place a check mark in the box confirming that the user id you are adding meets the USAC terms and conditions.
- Scroll down to assign a role to the new user.

# Add Authorized Users in E-File

The screenshot displays a user selection interface. At the top, there are two radio buttons: 'Company Officer' (unselected) and 'Preparer' (selected). The 'Preparer' radio button is highlighted with an orange box. Below this, there are two columns: 'All FilerIDs' and 'Selected FilerIDs'. The 'All FilerIDs' column contains the number '889993'. Between the columns are two arrow buttons (right and left). At the bottom, there are 'Submit' and 'Cancel' buttons.

- Determine the level of access you will grant to the new user.
  - Company officers are the only persons who can certify an FCC Form 499.
  - Company officers can also add/remove users who have authority to access the account.
- Select which Filer IDs the new user will have access to by highlighting the filer ID and clicking the right arrow button to move it to the 'Selected Filer IDs' column.
- Complete the set up by clicking the 'Submit' button

# 2024 Filing Calendar

When to File the FCC Forms 499

# Types of FCC Form 499

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## FCC Form 499-A

1. Required for EVERYONE
2. The [FCC Form 499-A](#) is the annual form used to report the company's *actual* revenue billed during the prior calendar year.
3. The FCC Form 499-A is due annually on April 1.
4. USAC will notify you of due date.

## FCC Form 499-Q

1. Required for all non-*de minimis* filers
2. The [FCC Form 499-Q](#) is used to *project* or *forecast* the company's revenue for the next calendar quarter.
3. The FCC Form 499-Q due dates:
  - February 1
  - May 1
  - August 1
  - November 1
4. USAC will not notify you of due dates

# 2024 Filing Deadlines

Due Date	Revenue Reported	Revision Deadline	Contributor Invoice
FCC Form 499-Q February 1, 2024	2Q projected 2024 4Q revenue 2023	March 18, 2024	April – June 2024
<b>FCC Form 499-A</b> <b>April 1, 2024</b>	<b>Prior year: 2023</b> <b>January – December</b>	<b>March 31, 2025</b>	<b>July 2024</b>
FCC Form 499-Q May 1, 2024	3Q projected 2024 1Q revenue 2024	June 17, 2024	July – September 2024
FCC Form 499-Q August 1, 2024	4Q projected 2024 2Q revenue 2024	September 16, 2024	October – December 2024
FCC Form 499-Q November 1, 2024	1Q projected 2025 3Q revenue 2024	December 16, 2024	January – March 2025

\* When a form due date falls on a weekend or holiday, the form is due the following business day.

# Quarterly Filing Periods

## Filing Dates – from the FCC Form 499-Q

One form is used to file all four of the quarterly filings. The relevant time periods are included on the form showing the filer exactly what time periods the form is reporting.

Below is a screen shot from E-File's data entry for the FCC Form 499-Q. You can find a copy of this form on our website.

**Block 3: Contributor Historical and Projected Revenue Information**

113. Indicate which Quarterly filling this represents

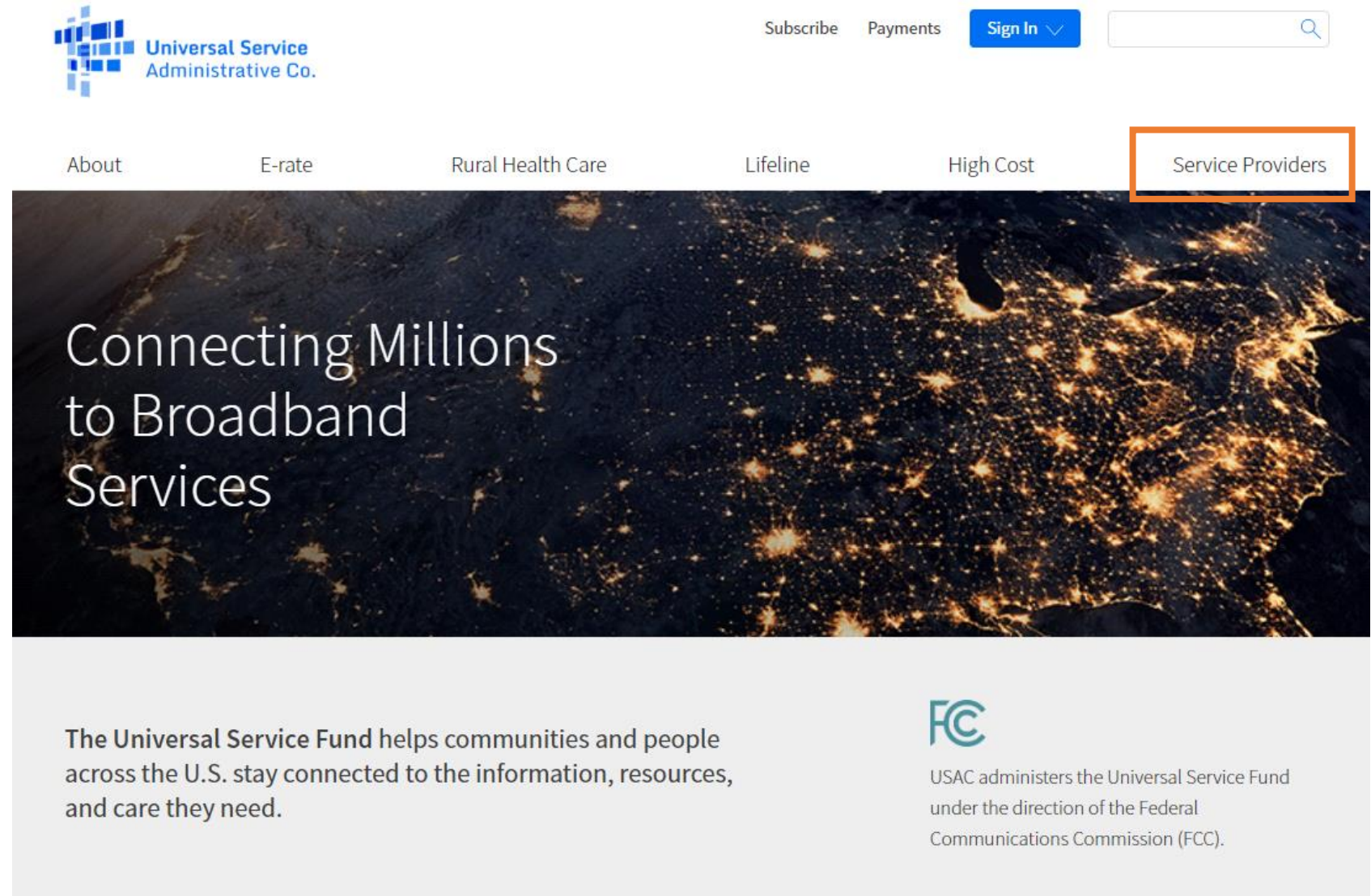
<u>Filing due</u>	<u>Historical revenues (lines 115-118) for</u>	<u>Projected revenues (lines 119-120) for</u>
<input type="radio"/> November 1, 2023	July 1 - September 30, 2023	January 1 - March 31, 2024
<input type="radio"/> February 1, 2024	October 1 - December 31, 2023	April 1 - June 30, 2024
<input type="radio"/> May 1, 2024	January 1 - March 31, 2024	July 1 - September 30, 2024
<input checked="" type="radio"/> August 1, 2024	April 1 - June 30, 2024	October 1 - December 31, 2024

# Find the FCC Forms 499

Navigate to USAC's website:  
[www.usac.org](http://www.usac.org)

Click on the words “[Service Providers](#)” from the top right hand of page.

Mega Menu will display with further menu selections for Service Providers.



The screenshot shows the top navigation bar of the USAC website. The logo for Universal Service Administrative Co. is on the left. To the right are links for 'Subscribe', 'Payments', and a 'Sign In' button with a dropdown arrow. A search bar is also present. Below the navigation bar is a horizontal menu with items: 'About', 'E-rate', 'Rural Health Care', 'Lifeline', 'High Cost', and 'Service Providers'. The 'Service Providers' item is highlighted with an orange rectangular box. Below the navigation bar is a large banner image of a satellite view of Earth at night, showing city lights. The text 'Connecting Millions to Broadband Services' is overlaid on the banner. At the bottom of the page, there is a light gray section with text on the left and the FCC logo on the right.

Universal Service Administrative Co.

Subscribe Payments Sign In

About E-rate Rural Health Care Lifeline High Cost **Service Providers**

Connecting Millions to Broadband Services

The Universal Service Fund helps communities and people across the U.S. stay connected to the information, resources, and care they need.

FCC  
USAC administers the Universal Service Fund under the direction of the Federal Communications Commission (FCC).

# Find the FCC Forms

Select “Forms & Instructions” from the drop-down mega menu.



[Subscribe](#) [Payments](#) [Open Data](#)

[Sign In](#)

[About](#) ▾

[E-rate](#) ▾

[Rural Health Care](#) ▾

[Lifeline](#) ▾

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# Form 499 & Instructions

All 3 forms relevant to Service Providers can be found on this page.

The paper copy of the instructions as well as the form itself are listed in this section. Actual forms are the last pages of the instructions document.

Click on the name to open up a .pdf document.

## [FCC Form 499-Q](#)

- filed 4 times a year.

## [FCC Form 499-A](#)

- filed once a year

Universal Service Administrative Co.

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About E-rate Rural Health Care Lifeline High Cost **Service Providers**

USAC | Service Providers | Resources | **Forms & Instructions**

Resources

Forms

**Forms & Instructions**

Prior Year Forms

USAC-Designed Forms and Templates

Upcoming Dates

Announcements

Quick Links

Glossary of Terms

Appeals and Audits

Multi-Factor Authentication

## Forms & Instructions

This list of forms pertain to service providers for purposes of contributing to the USF and registering to receive disbursements.

<b>FCC Form 498</b> <a href="#">FCC Form 498 and Instructions</a>	<b>Who Must File</b> Service providers participating in USF Programs <a href="#">Learn more</a>
<b>FCC Form 499-A</b> <a href="#">FCC Form 499A and Instructions</a>	<b>Who Must File</b> All 499 Filer IDs <a href="#">Learn more</a>
<b>FCC Form 499-Q</b> <a href="#">FCC Form 499-Q and Instructions</a>	<b>Who Must File</b> All contributors to USF <a href="#">Learn more</a>

The links open a .pdf document that contain the instructions to the form, with a copy of the form at the end of the document.

*These documents are provided for reference only, as the forms must be completed online through USAC's E-File system*

**Questions?**

# Quarterly Filing

Who is Required to File the 499-Q

## What is it?

- The FCC Form 499-Q (quarterly) is used to determine the amount of money a company must contribute to the universal service fund for a quarter.
- *It is similar in concept to the IRS quarterly estimated tax forms a business must file.*
- While the filer reports both historical and projected revenues on the form, **only the projected revenues are used to determine the contribution amount** billed in the upcoming quarter.

# Define “*de minimis*”

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## **Definition of *de minimis***

A filer qualifies for *de minimis* status for a given calendar year when the revenue reported on its corresponding FCC Form 499-A is such that the calculated annual contribution to the federal Universal Service Fund is less than \$10,000.

## **Annual Revenue Threshold**

For calendar year 2024, filers that bill less than **\$41,841** of combined **end user** interstate and international telecommunication revenues will be considered *de minimis* for 2024.


If the company has determined that they will be required to be a direct contributor to the Federal Universal Service Fund, that company must file an FCC Form 499-Q, the quarterly filing.

# Who Must File

1. Every non de minimis telecommunications company must file the FCC Form 499-Q. This means your company is a direct contributor to the FUSF and is billed monthly by USAC. If the company does not file, a late filing fee will be applied.
2. *De minimis* filers are not required to file the FCC Form 499-Q, but must notify USAC of their status by selecting the *de minimis* button on their main screen.

USAC Test Amy  
889993

Filer Status: Active

Notify USAC of De Minimis Status 

Notify USAC if your company will be [de minimis](#) in the upcoming quarters.

# Filing Online

Accessing the Forms Through E-File

# Online Filing – Before You Start

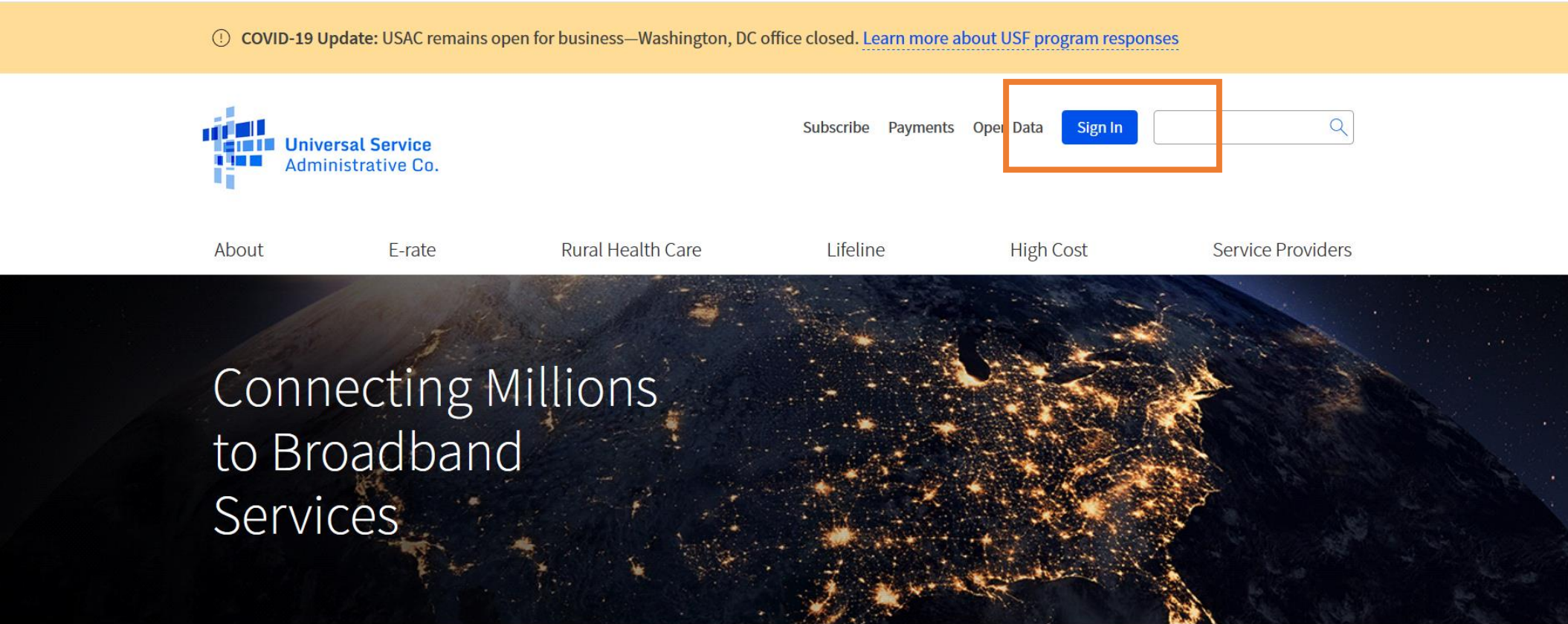
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- Google Chrome, Mozilla Firefox, and Microsoft Edge internet browsers are recommended.
  - Apple Safari and mobile access are not recommended.
- Make sure your internet browser's 'pop up blocker' is **OFF**.
  - The system opens a new window to display the data entry screen for the FCC Form 499-Q.
  - If you have clicked '**Start Form**' and you don't see a new, smaller window – you most likely have the pop-ups blocked.
- Please ensure these email addresses from USAC don't go to your SPAM folder!
  - Important USAC communication comes from the following emails:
    - ✓ 'form499@usac.org'
    - ✓ 'CustomerSupport@usac.org'
    - ✓ 'form499@universalservice.org'
- Not sure if you are *de minimis* or not? FILE the form anyway!
  - We would rather have you report your revenues than us having to estimate on your behalf.



# Log in to E-File


All data entry and submission of 499 forms is done while logged in to USAC’s online system called E-File. You can log in from the main USAC webpage, [www.usac.org](http://www.usac.org), by clicking the blue ‘Sign In’ button at the top of the page.



# Online Filing the FCC Forms 499

Once logged in to E-File, the service provider portal will appear. Only systems in which you have authorization to access will appear. In this example, the user can only access the 498 ID and the 499 Filer ID. Select the paragraph 'Manage FCC Forms 499'.

## Dashboard

 In accordance with the Supply Chain orders, new certifications have been added to the following forms: RHC - FCC Form 463 and the Telecom invoice, E-rate - FCC Form 473, and High Cost & Lifeline - FCC Form 481. Service providers are required to submit these annual certifications. For additional information, visit the [USAC Supply Chain](#) page.

### Upcoming Dates

No upcoming dates found.

### Service Providers

**Manage FCC Forms 498** - Provide contact and banking information for entities that receive support from universal service programs, manage authorized users.

**Manage FCC Forms 499** - Report revenue on FCC Forms 499, make USF payments, view payment history, download invoice summary and manage users.



### Help?

**Send us a message**  
[Click here](#)

**Call us**  
(888) 641-8722

# Online Filing the FCC Forms 499

Using the E-File navigation system, navigate to the Filer ID that you wish to work on, if you have more than one 499 Filer ID.

Scroll to the bottom of the screen and summary view of the FCC Form 499s.

The forms are listed in chronological order. In the 'Action' column, your choices for form entry are in blue.

The screenshot displays the '499 Forms' section of a web application. At the top, there are navigation tabs for '499 Forms', 'Payments', and 'Messages (0)'. Below the tabs, the title '499 Forms' is prominently displayed. A yellow notification banner states: 'You have 7 filing(s) that require attention. Those filings are identified below marked in yellow in the Status column.' Below the notification, there is a 'Sort By' dropdown menu currently set to 'Summary View'. A search bar is located on the right side of the page, with the text 'Displaying 1 to 10 of 19 records' above it. The main content is a table with the following columns: '499 Forms', 'Receipt Date', 'Status', 'View', 'Action', 'DCA Approval Date', and 'Admin'. The table lists several filings, with the 'Status' column highlighting 'USAC Estimate' in yellow for three entries. The 'Action' column for these entries shows 'Revise | Certify' in blue text. Other entries have a status of 'Not Started' and an action of 'Start Form' in blue text. One entry has a status of 'Cancel' and an action of 'Revise | Certify' in blue text.











499 Forms	Receipt Date	Status	View	Action	DCA Approval Date	Admin
499-Q - August 2023 Filing		Not Started		<a href="#">Start Form</a>		
499-Q - May 2023 Filing		Not Started		<a href="#">Start Form</a>		
499-A - 2023 Filing		USAC Estimate		<a href="#">Revise   Certify</a>		<a href="#">Admin</a>
499-Q - February 2023 Filing		USAC Estimate		<a href="#">Revise   Certify</a>		<a href="#">Admin</a>
499-Q - November 2022 Filing		USAC Estimate		<a href="#">Revise   Certify</a>		<a href="#">Admin</a>
499-A - 2022 Filing - Rev 1		Cancel		<a href="#">Revise   Certify</a>		<a href="#">Admin</a>
499-A - 2022 Filing		USAC Estimate		<a href="#">Revise   Certify</a>		<a href="#">Admin</a>

# Online FCC Form Status

There are a number of different statuses that a form can be assigned.

*Not Started, Certified, DCA Approved* are the most common.

A complete list of the different statuses can be found [here](#).

499 Forms	Receipt Date	Status	View	Action	DCA Approval Date	Admin
499-Q - November 2023 Filing		Not Started		<a href="#">Start Form</a>		
499-Q - August 2023 Filing	07/31/2023	Approved		<a href="#">Revise   Certify</a>	07/31/2023	<a href="#">Admin</a>
499-Q - May 2023 Filing		Approved		<a href="#">Revise   Certify</a>	04/14/2023	<a href="#">Admin</a>
499-A - 2023 Filing - Rev 1		Pending Officer Approval		<a href="#">Revise   Certify</a>		<a href="#">Admin</a>
499-A - 2023 Filing	02/24/2023	Issue - Response Required		<a href="#">Revise   Certify</a>	03/07/2023	<a href="#">Admin</a>
499-Q - February 2023 Filing - Rev 2		Approved		<a href="#">Revise   Certify</a>	03/02/2023	<a href="#">Admin</a>
499-Q - November 2022 Filing	09/30/2022	Approved		<a href="#">Revise   Certify</a>	12/17/2022	<a href="#">Admin</a>
499-A - 2022 Filing - Rev 1		Issue - Response Required		<a href="#">Revise   Certify</a>		<a href="#">Admin</a>
499-A - 2021 Filing - Rev 10	01/27/2022	Approved		<a href="#">Revise   Certify</a>	01/27/2022	<a href="#">Admin</a>
499-A - 2020 Filing - Rev 4		Issue - Response Required		<a href="#">Revise   Certify</a>		<a href="#">Admin</a>

Show  records < 1 > of 4 pages

# Monthly Invoice

How USAC Uses the Revenues on the 499-Q

# USAC Online Invoice

On or around the 15<sup>th</sup> of the month, an online invoice is available to authorized users in E-File.

To access this invoice page, from the 'Payments' section, scroll down to the 'Select Payment View', click in the box, and select 'Invoices'.



Invoice Number:  
Statement Date: 08/21/2020  
New Balance:  
Payment Due Date: 09/15/2020

Filer ID  
Billing Contact

### STATEMENT OF ACCOUNT

Date	Description	Charges/Credits
	<b>Previous Balance</b>	
08/11/2020	Payment	
08/14/2020	Support Mechanism Adjustment - 2020 499A	
08/14/2020	Support Mechanism Charges	

“Support Mechanism Charge” is your monthly contribution.

TOTAL OUTSTANDING USAC BALANCE AS OF 08/14/2020

Transactions occurring after 08/14/2020 are not reflected in this statement.

\*Please note that this is just the summary page of your invoice. A full invoice with more detail is being mailed to you.

#### Payment Information

To make payments go to [www.usac.org/pay](http://www.usac.org/pay)

Under the Debt Collection Improvement Act of 1996 (Pub. L. 104-134) (DCIA), your BALANCE DUE is a demand that you pay a DEBT owed to the United States on or before the DUE DATE. Any portion of the DEBT unpaid after the DUE DATE is a DELINQUENT DEBT, which incurs interest at the annual rate equal to the U.S. prime rate as of the DATE OF DELINQUENCY plus 3.5 percent from that DATE until the DEBT is paid in full. Any portion of the DEBT unpaid more

### Summary

**\$0.00**

Total Payment Due ⓘ

[Make a Payment](#)

Details

\$0.00  
Total Current

\$0.00  
Total Past Due

Select Payment View

Payment History

Payments

No payment data within the last 24 months.

[Return to Account Summary](#)

**Select Payment View**

See the payment history, invoices, balance detail

- Payment History
- Invoices
- Invoice History
- Balance Details
- True-Up Statements

# USAC Paper Invoice

## DETAILED SUMMARY OF CHARGES AND CREDITS

Page 1, Lower left hand side

### FORM 499Q DATA

This month's support mechanism charges were calculated using an FCC contribution factor of 0.212000 and the following revenue data:

November 2019 499Q

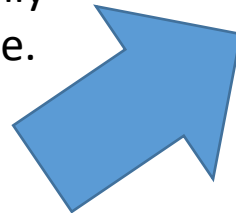
120b	\$108,378.00
120c	\$0.00

If the figures do not correspond with your records, please contact USAC Customer Service at 888-641-8722

USAC takes the revenue input on Line 120b + 120c  
This is displayed on your invoice, page 1, lower left corner.



USAC outlines the calculations for the monthly contribution amount on page 2 of the invoice.



### SUPPORT MECHANISM CHARGES

Your monthly support mechanism charges were calculated according to the following formulas:

#### Quarterly Contribution Base

The quarterly contribution base is a portion of your quarterly revenue that USAC considers when determining your quarterly Universal Service Fund contribution. The quarterly contribution base equals your interstate plus international revenue. Your current quarterly contribution base equals:

$$\frac{\$ 108,378.00}{\text{Interstate Revenue (Line 120B)}} + \frac{\$ 0.00}{\text{International Revenue (Line 120C)}} = \frac{\$ 108,378.00}{\text{Quarterly Contribution Base}}$$

#### Adjusted Quarterly Contribution

USAC adjusts carriers' quarterly contribution bases by the amount that they are expected to contribute in that quarter. The calculation for an adjusted contribution amount is as follows, and takes into account the circularity deduction:

$$\frac{\$ 108,378.00}{\text{Quarterly Contribution Base}} * \frac{0.212000}{\text{FCC Contribution Factor}} = \frac{\$ 22,976.14}{\text{Unadjusted Contribution}}$$

$$\frac{\$ 22,976.14}{\text{Unadjusted Contribution}} - \left( \frac{\$ 22,976.14}{\text{Unadjusted Contribution}} * \frac{0.175696}{\text{FCC Circularity Factor}} \right) = \frac{\$ 18,939.32}{\text{Adjusted Contribution}}$$

#### De Minimis Eligibility

Carriers whose expected annual contribution is less than \$10,000 are considered de minimis and are exempted from paying into the Universal Service Fund. To be exempt, a carrier must meet the de minimis criteria on both the current 499A and 499Q forms.

You meet the de minimis criteria on neither the 499A or the current 499Q. Therefore, you are not eligible for the de minimis exemption during the current quarter.

Your eligibility was calculated using the contribution factors established by the FCC for determining de minimis status on each form:

499A:

$$\frac{\$ 466,977.82}{\text{499A Contribution Base}} * \frac{0.178000}{\text{FCC Estimated Annual Factor}} = \frac{\$ 83,122.05}{\text{Estimated 499A Contribution}}$$

499Q:

$$\frac{\$ 18,939.32}{\text{Adjusted Contribution}} * 4 = \frac{\$ 75,757.30}{\text{Estimated 499Q Contribution}}$$

For more information on the FCC estimated annual factor, please see the Form 499A Instructions. For more information on the current FCC contribution factor, visit the FCC website at [www.fcc.gov](http://www.fcc.gov).

#### Support Mechanism Charges

Your total monthly contribution is determined by multiplying one-third of your adjusted quarterly contribution base by the current quarter's FCC contribution factor.

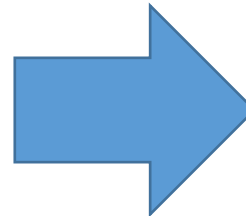
$$\frac{1}{3} * \frac{\$ 18,939.32}{\text{Adjusted Quarterly Contribution}} = \frac{\$ 6,313.11}{\text{Total Monthly Contribution}}$$

# USAC Paper Invoice

## August 2024 FCC Form 499-Q

## October, November, December 2024 USAC invoices

114. Check if using safe harbor to allocate interstate/intrastate revenues for each of the following (as applicable):	<input type="checkbox"/> Cellular and Broadband <input type="checkbox"/> Paging <input type="checkbox"/> Analog SMR <input type="checkbox"/> Interconnected VoIP		
Historical billed revenues with no allowance or deductions for uncollectables. See instructions.	Total Revenues in \$ (a)	Interstate Revenues in \$ (b)	International Revenues in \$ (c)
115. Telecommunications provided to other universal service contributors for resale as telecommunications or as interconnected VoIP	\$0.00	\$0.00	\$0.00
116. End-user telecommunications revenues including any pass-through charges for universal service contributions, but excluding international-to-international revenues	\$0.00	\$0.00	\$0.00
117. All other goods and services	\$0.00	Column (b) and (c) not requested for Lines 117 and 118	
118. Gross-billed revenues from all sources [sum of above]	\$0.00		
119. Projected gross-billed end-user interstate and international telecommunications revenues including any pass-through charges for universal service contributions, but excluding international-to-international revenues		\$0.00	\$0.00
120. Projected collected end-user interstate and international telecommunications revenues including any pass-through charges for universal service contributions, but excluding international-to-international revenues		\$0.00	\$0.00



Filer 499 ID: 838000  
 Invoice Number: UBDI0009056607  
 Statement Date: 07/22/2019  
 New Balance: \$ 26,326.80  
 Payment Due Date: 08/15/2019

ABC Communication, Inc.  
 Attention: Edward Smith  
 316 Universal Avenue, Suite 100  
 Washington, DC, 20005

Filer 499 ID: 838000  
 Invoice Number: UBDI0009056607  
 Statement Date: 07/22/2019  
 New Balance: \$ 26,326.80  
 Payment Due Date: 08/15/2019

ACCOUNT STATUS

- Account is in good standing.

STATEMENT OF ACCOUNT

Date	Description	Charges	Credits
	Previous Balance	\$10,498.84	
06/19/2019	Payment		(\$5,249.42)
06/27/2019	Payment		(\$5,249.42)
07/15/2019	Support Mechanism Charges	\$6,352.26	
07/15/2019	Support Mechanism Adjustment - 2019 499A	\$19,974.54	
<b>TOTAL OUTSTANDING USAC BALANCE AS OF 7/15/2019</b>		<b>\$26,326.80</b>	

Credits

(\$5,249.42)

(\$5,249.42)

(\$5,249.42)

(\$5,249.42)

pay a DEBT owed to the United States  
 result in sanctions, including interest,  
 and to your BALANCE DUE. [Read the](#)

owed to the United States  
 portion, including interest,  
 BALANCE DUE. [Read the](#)

Balance Due USAC  
 \$26,326.80

FORMATION  
 (specific instructions) will be applied to  
 equal order as outlined in FCC order

to make a payment.

Transactions occurring after 07/15/2019 are not reflected on this statement.

Under the Debt Collection Improvement Act of 1996 (Pub. L. 104-134) (DCIA), your BALANCE DUE is a demand that you pay a DEBT owed to the United States on or before the DUE DATE. Any portion of the DEBT unpaid after the DUE DATE is a DELINQUENT DEBT, which may result in sanctions, including interest, penalties, and administrative charges. Failure to file a Telecommunications Worksheet may result in a late filing fee DEBT added to your BALANCE DUE. [Read the reverse of this invoice for important information about these sanctions and your legal rights and obligations.](#)

Statement Date	Invoice Number	Filer 499 ID	Balance Due USAC
07/22/2019	UBDI0009056607	838000	\$26,326.80

**FORM 499Q DATA**

This month's support mechanism charges were calculated using an FCC contribution factor of 0.244000 and the following revenue data:

	May 2019 499Q
120a	\$97,208.00
120c	\$0.00

If the figures do not correspond with your records, please contact USAC Customer Service at 888-641-8722.

**PAYMENT INFORMATION**

All payments received (regardless of specific instructions) will be applied to your outstanding USAC balance in historical order as outlined in FCC order 07-150.

Go to [www.usac.org/pay](http://www.usac.org/pay) to make a payment.

The revenue projections entered on Line 120 will be used for determining your contribution amounts billed on the 4<sup>th</sup> quarter of 2024 invoices.



**Questions?**

# Annual Filing FCC Form 499-A

# FCC Form 499-A Purpose

## What is it?

A company reports their actual historical revenues on the FCC Form 499-A.

*The 2024 FCC Form 499-A reports actual revenues from calendar year 2023.*

USAC takes the revenue on the 2024 FCC Form 499-A and compares to the projected revenue from the quarterly FCC Forms 499-Q that were filed in 2023.

### Estimated Revenues – Total for Year

499-Q November 2022 Filing (1Q 2023)  
499-Q February 2023 Filing (2Q 2023)  
499-Q May 2023 Filing (3Q 2023)  
499-Q August 2023 Filing (4Q 2023)

Compare to

### Actual Revenues

- 2024 FCC Form 499-A  
January – December 2023

## Compare 499-Q revenue to the 499-A revenue

If the company's projected revenues for calendar year 2023, reported on the FCC Form 499-Qs, are **too high**, USAC will calculate your credit.

The company 'contributed' too much over the year.

If the company's projected revenues are **too low**, USAC will calculate a "true-up charge" to make up for the contribution deficit.

The company didn't contribute enough over the year.

These charges/credits will appear on the company's July invoice from USAC.

# **Certify and Submit**

Complete the FCC Form 499





# Certify & Submit

## Review, Certify & Submit

Once the data entry is complete on the FCC Form 499-A, **USAC does not consider it submitted until a Company Officer electronically signs (certify) and submits the form.**

**E-File preparers cannot submit the FCC Form 499-A, only a Company Officer can.**

E-File gives the officer two methods for certifying forms.

Account #	Company Name	Form	Status	View	Action
889993	USAC Test Amy	499-Q - November 2020 Filing - Rev 3	Pending Officer Approval		Revise   Certify
80	Communications, LLC	499-A - 2022 Filing - Rev 1	Pending Officer Approval		Revise   Certify
889994	USAC Test	499-A - 2022 Filing	Pending Officer Approval		Revise   Certify
889993	USAC Test Amy	499-A - 2020 Filing - Rev 2	Pending Officer Approval		Revise   Certify

### MAIN PAGE: 2022 FCC FORM 499-A (REPORTING ACTUAL REVENUES FROM CALENDAR YEAR 2021)

Thank you for choosing to electronically file your FCC Form 499-A. Use this E-File system to update your registration information and to report your actual revenues from calendar year 2021. As you enter your data, be sure that you understand the requirements as indicated in the [2022 FCC Form 499-A Instructions](#).

**Update Registration Information**  
(Pages 1-3 of FCC Form 499-A)

Review and update your registration information, including your company contact names and addresses, carrier type and activities, and jurisdiction. The E-File system has pre-populated this information from your prior FCC Form 499 submissions.

**Enter/Update Revenue Information**  
(Pages 4-8 of FCC Form 499-A)

Enter or update your revenue information for calendar year 2021. If you are submitting a revision, the E-File system has pre-populated revenue information from your last filing.

#### Submitting the Form

Throughout the data entry process, the E-File system will conduct validations of your data and will advise you of any issues it detects. The "Review and Certify My Filing to USAC" button will be enabled only when any identified issues have been resolved.

**Save & Close Form**

**Review & Certify My Filing to USAC**

# **Third Party Funds**

Administrators Receiving 499-A Information

## Authorized Access to Annual Form Data

- The administrators of each of the funds below use the revenue reported on the FCC Form 499-A to calculate and assess any necessary contributions.
- These administrators will notify companies of their required contributions to each of these funds. You will be invoiced separately for each of these funds.
- On a monthly basis, USAC provides the administrators with data from the most recent FCC Form 499-A filed.
- This data includes contact information, receipt date information, and reported revenues.
- For more information, refer to the FCC Form 499-A instructions on who is required to contribute to these funds and what revenue line number is provided.



# Third Party Funds

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- 1. Telecommunications Relay Services (TRS)** enables an individual who is deaf, hard of hearing, deaf-blind, or who has a speech disability to communicate by telephone or other device through the telephone system at no additional cost. Every common carrier interstate telecommunications services and every VoIP provider (including interconnected and non-interconnected) must contribute to the TRS Fund. See 47 C.F.R. Sections 64.601(b), 64.604.
- 2. Local Number Portability (LNP)** enables end users to keep their telephone number when switching from one telecommunications service provider to another. The shared costs of long-term number portability attributable to a regional database shall be recovered from all telecommunications carriers and interconnected VoIP providers servicing a given region. See 47 C.F.R. Section 52.32.
- 3. North American Numbering Plan Administration (NANP)** is a numbering scheme for the public switched telecommunications networks (PSTN) within the United States, Canada, and participating Caribbean countries. All telecommunications carriers and interconnected VoIP providers in the United States shall contribute to meet the costs of establishing numbering administration. See 47 C.F.R. Section 52.17.
- 4.** In addition, the FCC utilizes the revenue data contained in the FCC Form 499-A to bill carriers **Interstate Telecommunications Service Provider (ITSP)** regulatory fees. The ITSP is collected to cover the regulatory costs associated with the FCC's enforcement, policy and rulemaking, user information, and international activities. See 47 U.S.C. Section 159(a).

# Third Party Fund Administrators

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## List of Companies and Contact Information

<b>Fund</b>	<b>Administrator</b>	<b>Customer Service Number</b>
TRS	<a href="#">RolkaLoubé – Public Utility and Telecommunications Consulting</a>	(717) 585-6605
LNPA	<a href="#">Telcordia Technologies dba iconectiv</a>	(844) 560-8050
NANPA	<a href="#">North American Numbering Plan (NANP)</a>	(613) 760-4512
ITSP	<a href="#">Federal Communications Commission (FCC)</a>	(877) 480-3201, Option 6

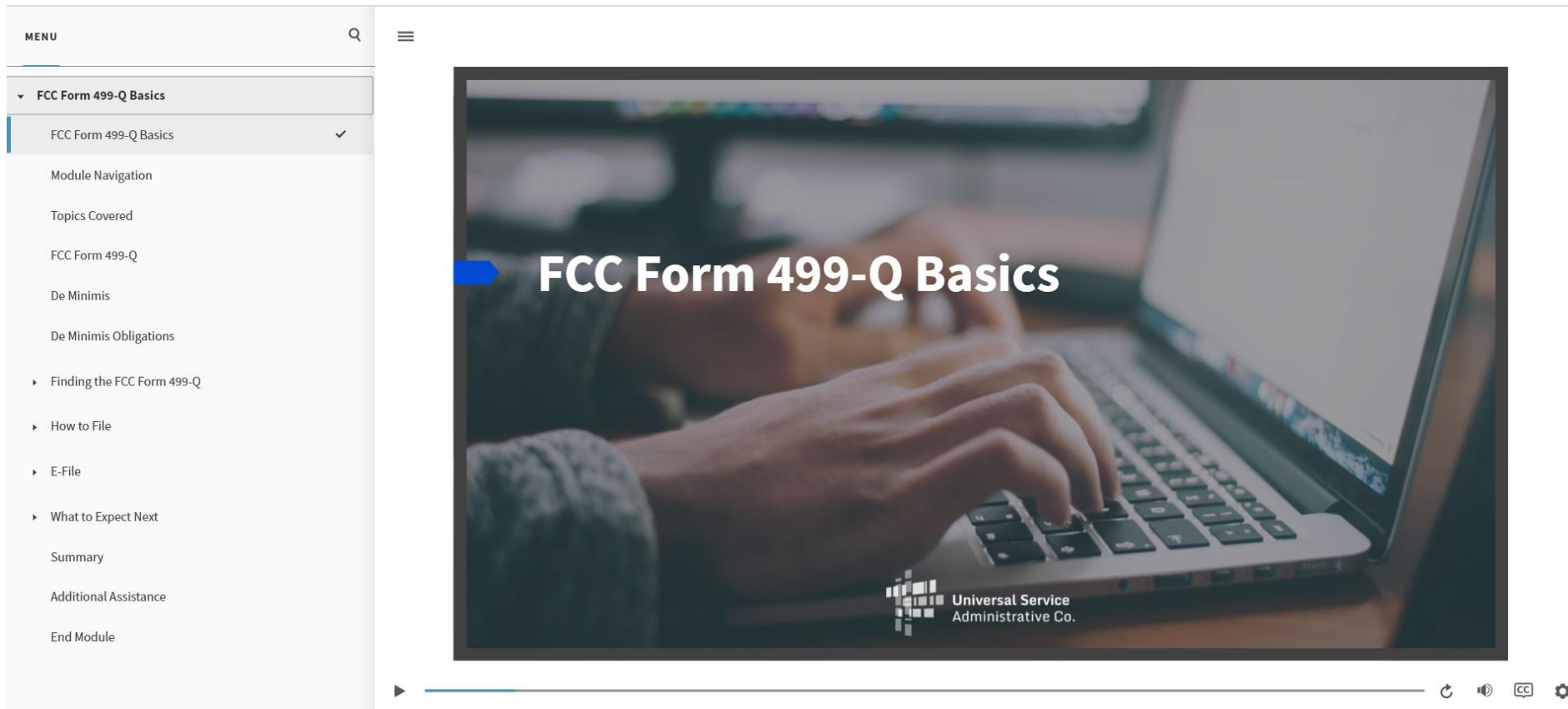
# **Form Specific Training**

Resources On-Line

# Training Video for Filing the FCC Form 499-Q

You can find an FCC Form 499-Q training module that explains the form and walks a user through filing the FCC Form 499-Q. It is self-paced and includes a menu so you can skip to specific sections.

This video is found on our 'Videos' page or directly by clicking this [link](#).



The screenshot shows a video player interface. On the left is a navigation menu with the following items:




- MENU
- FCC Form 499-Q Basics (expanded)
- FCC Form 499-Q Basics (checked)
- Module Navigation
- Topics Covered
- FCC Form 499-Q
- De Minimis
- De Minimis Obligations
- Finding the FCC Form 499-Q
- How to File
- E-File
- What to Expect Next
- Summary
- Additional Assistance
- End Module

The video player on the right shows a video titled "FCC Form 499-Q Basics" with a blue arrow pointing to the title. The video frame features a background image of hands typing on a laptop. In the bottom right corner of the video frame, the logo for Universal Service Administrative Co. is displayed. Below the video frame is a standard video player control bar with play, volume, and settings icons.

# Webinars for Filing the FCC Form 499-A

In-depth training for the annual form happens every February. The webinars are recorded and found on the Webinars [webpage](#).

The following are recommended for reviewing how to complete an FCC Form 499-A.

<b>2024 FCC Form 499-A: VoIP Providers and Resellers</b> <a href="#">2024-499A-Series-VoIP-Providers</a> 	February 14, 2024   55:37 minutes	<a href="#">Watch</a>
<b>2024 FCC Form 499-A: Getting Started</b> <a href="#">2024-499A-Series-Getting-Started</a> 	February 12, 2024   53:12 minutes	<a href="#">Watch</a>
<b>Office Hours: What's New on the 2024 FCC Form 499-A</b> <a href="#">Feb24 Contributor Office Hours</a> 	February 07, 2024   54:17 minutes	<a href="#">Watch</a>

**Questions?**

# How to Contact USAC

# E-File Message Portal

499 Forms   Payments   **Messages (0)**

Inbox  
Archive

Displaying 0 of 0 records

**+ Compose**

No new messages.

Show 10 records

## New Message

Company  
USAC Test Amy

Email  
  
example@company.com  Notify all contacts

Category  
--Select A Category--  
499-A  
499-Q  
Billing  
Credit Balance Refunds  
Deactivation  
Payments  
Red Light  
True-Up  
Other

Cancel Send

Send a message to the Service Provider team directly through the new Message Portal in E-File.



# Contributors/Service Providers Customer Service Center (CSC)



**Call us at (888) 641-8722**

Monday – Friday 9 a.m. to 5 p.m. ET



**Email:** [CustomerSupport@usac.org](mailto:CustomerSupport@usac.org)

- Include in your email
  - 498 ID/Service Provider ID Number (SPIN)
  - 499 Filer ID



**Universal Service  
Administrative Co.**